PARAMETER EXTRACTION FOR BIPOLAR TRANSISTORS

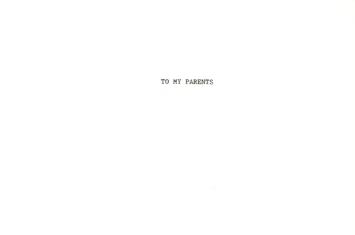
BY

JU SUNG PARK

A DISSERTATION PRESENTED TO THE GRADUATE SCHOOL
OF THE UNIVERSITY OF FLORIDA IN PARTIAL FULFILLMENT
OF THE REQUIREMENTS FOR THE DEGREE OF
DOCTOR OF PHILOSOPHY

UNIVERSITY OF FLORIDA

1989



ACKNOWLEDGMENTS

I wish to express my sincere appreciation to the chairman of my supervisory committee, Professor Arnost Neugroschel, for his guidance, encouragement, and support throughout the course of this work. I also thank Professors Fredrik A. Lindholm, Gys Bosman, Sheng S. Li, and Timothy J. Anderson for their participation on my supervisory committee.

I am grateful to Dr. Konstantinos Misiakos for suggesting the topic and helping with the measurement of Auger coefficients.

I want to express my appreciation to Dr. Peter Zdebel and Mr. Victor de la Torre from Motorola Inc., for supplying devices and for their cooperation regarding the collector resistance measurement.

I want to express my appreciation to Dr. Jong-Sik Park and Dr. Tae-Won Jung, Tae-Hwan Yoon, Hanggeun Jung, and Moon-Seok Choi for many helpful discussions and encouragement.

I am indebted to my wife, Kyung H ν a, for her support and patience during all the years of this study.

The financial support of the Semiconductor Research Corporation and Electronics Telecommunication Research Institute are gratefully acknowledged.

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Abstract of Dissertation Presented to the Graduate School of the University of Florida in Partial Fulfillment of the Requirements for the Degree of Doctor of Philosophy

PARAMETER EXTRACTION FOR BIPOLAR TRANSISTORS

Βv

JU SUNG PARK

August 1989

Chairman: Arnost Neugroschel Major Department: Electrical Engineering

This dissertation deals with reliable and accurate extraction of parameters applicable to bipolar device and circuit design. The extraction is based on new methods developed as a part of this study.

Series resistances of the emitter, base, and collector are very important in determining the static and dynamic performance of bipolar transistors. An accurate small-signal impedance method for measurement of the base and emitter resistances and the junction capacitances is described. The method also yields the current dependence of the base resistance. The method is demonstrated on advanced small-size transistors with polysilicon contacts. The accuracy of the results is checked through an internal self-consistency test based on a unique subtraction method for measuring the junction capacitances.

A new method for measurement of the collector resistance was developed. The method uses the parasitic p-n-p transistor associated

with the n-p-n integrated circuit transistor. The measured collector resistance shows a sharp transition from the large low-current value to the low high-current value. The transition is due to the high injection phenomena. The measured results agree very well with numerical simulations. The method also yields the emitter resistance by combination with other DC measurement.

The Auger coefficient in the electron-hole plasma in the density range from 10^{17} to 5×10^{17} cm⁻³ is measured from the dependence of the electroluminescence of the $p^+-p^--n^+$ structure on current. The new method developed for this purpose also uniquely determines the plasma density as a function of current. The range of plasma densities studied corresponds to the electron and hole concentrations in the collector of the bipolar transistor at high currents. The new measured value for the Auger coefficient is 1.77 x 10^{-30} cm⁶s⁻¹, which is about five times larger than the currently accepted value.

CHAPTER ONE INTRODUCTION

As the complexity of integrated circuits approaches the level of VLSI (Very Large Scale Integration), it is very important to predict the performance of individual semiconductor devices and circuits. This will reduce the manufacturing cost because correcting errors in VLSI circuits design requires a lot of turn-around time. To predict the performance of VLSI, we need good device and circuit simulators. The accuracy of simulators depends on accurate inputs, which include the circuit, physical device structure, and parameters of semiconductor devices. Thus, extraction of the parameters of a semiconductor device plays an important role in reducing the development cost of VLSI circuits.

There are two kinds of parameters that describe the performance of a semiconductor device: the device parameters (e.g. series resistances, capacitances, inductances) for the circuit analysis and the material parameters (e.g. mobility, bandgap, lifetime, etc.) for the device analysis. In principle, we need only the material parameters and the information about the structure (geometry and doping profiles) in order to predict the performance of the device. However, there are still large uncertainties about the values for the material parameters and the device structure. Even if these problems were solved, the simulators, which receive the material parameters as the inputs, cannot give us an accurate description of

a device due to incomplete understanding of some physical phenomena, and also due to the multidimensional effects in advanced submicrometer devices.

Considerable effort is being made to characterize the physical phenomena. measure the material parameters, and improve the device simulators, in order to extract the device parameters directly from simulation results. However. there still discrepancies between simulations and experimental results because of the reasons mentioned above. Another approach is to extract the device parameters from the fabricated devices, which will serve as the input parameters for the circuit simulator, such as SPICE. The second approach is more direct and accurate than the previous approach, which starts from the material parameters and device structure as the input parameters for the device and circuit simulators.

The direct measurement of the device parameters offers a number of advantages. It provides information about devices for circuit designers who are not familiar with the details of device physics. It may also help device physicists to improve the present understanding of the device operation or, perhaps, to find unknown phenomena which are not included in the present models used by the device simulators. The results of direct measurement can also be used as criteria to determine the yield and uniformity of a certain device process.

There are many techniques for parameter extraction for bipolar transistor. The problems involved in the existing techniques are

mainly poor accuracy, inapplicability for all bias levels and devices, poor physical meaning, and complexity of the measurement. In this thesis, we suggest new techniques for measuring the device and material parameters of bipolar transistors. Seven important device parameters of a bipolar transistor (base resistance, emitter resistance, collector resistance. collector-base capacitance, base-emitter junction capacitance, device temperature, and junction saturation currents) will be discussed and new measurement techniques for the above parameters will be suggested and demonstrated on state-of-the-art devices. There are other parameters, not discussed in this thesis, that are required to fully describe a transistor model, such as the hybrid- π model. The parameters discussed here, however, are generally the most difficult to measure and are the key parameters that determine the transistor characteristics [1].

High speed bipolar transistors operate, for a minimum gate delay, at current density near or even exceeding the onset of the so-called high-current phenomena [2]. The high-current effects in these devices occur predominantly in the lightly doped epitaxial collector region. If the transistor operates in the high-current regime, an electron-hole plasma can be generated in the lightly doped collector region [3]. The material parameters in the electron-hole plasma may deviate from their typical low-current values due to carrier-carrier scattering and other effects [4-5]. Bandgap narrowing, ambipolar mobility, and Auger recombination coefficients in the plasma state are important for characterization of the high-

current regime of the bipolar transistor. In this thesis, Auger recombination will be discussed and a new measurement technique for this parameter will be introduced.

In Chapter Two, several published measurement techniques for the base resistance will be briefly reviewed. Then, our new technique for measurement of the base resistance and the junction capacitances will be introduced. Emphasis is placed on reliable measurements of small-size transistors with examples shown for Si bipolar transistors with emitters smaller than 10 μm^2 . Advantages over measurements on large-area test devices are discussed. The method serves as the basis for the measurement of other parameters, such as the current-dependence of base resistance, emitter resistance, space-charge region capacitances of the base-emitter and base-collector junctions, junction temperature, and base and collector saturation currents. Applications to heterojunction bipolar transistors are also demonstrated.

In Chapter Three, we will exploit the contact crowding [6-7] and emitter crowding [8], to develop a new model for an effective current-dependent emitter resistance. The emitter resistance is considered in all present models as current independent. However, we will show that the effective emitter resistance may vary with current and depends on many variables. The effective emitter resistance is investigated in our model as a function of bias, geometry, sheet resistances of the base and emitter, and emitter specific contact resistance. The model is experimentally verified by measurements on two extreme device cases.

In Chapter Four, a new measurement technique for collector resistance will be introduced. The new technique uses the parasitic p-n-p transistor of the main n-p-n transistor. By operating the parasitic p-n-p transistor in the upward and downward modes, we can separate various components of collector resistance. Through the accurate value of collector resistance, we can also obtain the emitter resistance. Our new technique is demonstrated on various transistors and compared with numerical simulations.

In Chapter Five, we present a new technique for measuring Auger coefficients in the electron-hole plasma. Auger coefficients are determined by comparing the measured electroluminescence with the numerically calculated plasma density of a $p^+-p^--n^+$ diode. The effects of series resistance are removed by plotting electroluminescence versus current. Another advantage of the electroluminescence method is that it uniquely determines the plasma density as a function of current.

CHAPTER TWO MEASUREMENT OF THE BASE RESISTANCE

2.1 Introduction

The base resistance r_{b} depends on the geometry and the base sheet resistance R_{SB} , which is inversely proportional to the integrated neutral base impurity density. In high-performance transistors, the base width is very narrow (\approx 1000 Å). For a typical base-emitter junction doping gradient of $10^{24}\ \text{cm}^{-4}$, the space-charge region width shrinks from about 300 $\mbox{\normalfont\AA}$ at zero bias to about 150 $\mbox{\normalfont\AA}$ at 0.75 V [9]. The narrowing of the space-charge region width widens the quasi-neutral base and, thus, reduces $r_{\rm b}$ [10]. Other effects that lower \mathbf{r}_{b} at high current are conductivity modulation and base push-out [11-13]. Numerical calculation of the current dependence of $r_{\rm b}$ is possible [14-16] but requires a detailed knowledge of the base doping profile, doping dependence of the majority-carrier mobility in the base, and in small devices, also a consideration of two- or three-dimensional effects. The emitter resistance consists of the resistance of the emitter bulk and the contact resistance. The contact resistance is usually dominant for heavily doped emitter. The emitter bulk resistance can be calculated numerically. The contact resistance is technology dependent and has to be determined by measurement. Thus, a direct measurement of the base and emitter

resistances is important not only for circuit design, but also for verification of the accuracy of the process and device models.

In this chapter, we present and demonstrate new methods for accurate and reliable measurement of the base (r_b) and the emitter (r_e) series resistances and their current dependencies. We also determine the base-emitter and base-collector space-charge region capacitances, temperature of the device under test, and the base and collector saturation currents. A self-consistency check (via measured capacitances) to assure the accuracy and reliability of the measurements on small-size transistors is described. The new method eliminates the need for a dummy device normally required in standard direct capacitance measurements. An analysis of the $I_{C^-}V_{BE}$ curve to give accurate junction temperature and saturation current is proposed. The analysis is based on a correction of the measured $I_{C^-}V_{BE}$ curve for the effects of basevidth modulation by V_{BE} .

In Section 2.2, we briefly review conventional methods for measuring r_b and point out their deficiencies. In Section 2.3, we present the theoretical background for the new measurement. The experimental results for Si transistors with various sizes and application for GaAlas/GaAs heterojunction transistor are also shown in Section 2.4. Accuracy considerations for measurement of series resistances are discussed in Section 2.5.

2.2 Review of Conventional Methods

Traditionally, r_b has been a difficult parameter to measure. The main reason is that r_b is modeled as a lumped resistance, although it is actually a distributed resistance. Modeling of r_b by a single lumped resistance simplifies circuit analysis of complex circuits. As a result of the use of the lumped r_b , the value obtained for r_b depends strongly on the measurement technique used and on the transistor operating conditions. Some of the conventional techniques are reviewed below.

In the DC technique the voltage deviation of the base current from an exponential ideal dependence is measured. The voltage divided by the base current gives r_b [17]. This technique is not valid for the scaled-down advanced bipolar transistors with polysilicon contacts because the voltage deviation includes the $I_{\rm E}r_{\rm e}$ contribution in addition to the $I_{\rm B}r_b$ term. The $I_{\rm E}r_e$ drop may be negligible for transistors with large emitter metal-contact area, but is not negligible for advanced bipolar transistors where $r_{\rm e}$ can be large because of small emitter size. Even for large emitters with metal-contacts, there is a problem with this technique because $r_{\rm e}$ increases due to the current crowding. In Chapter Three the increase in $r_{\rm e}$ will be discussed.

Since the noise performance is one of the device characteristics that is significantly influenced by the value of $r_{\rm b}$, it is natural to attempt to measure noise to determine $r_{\rm b}$ [18-19]. This type of measurement is not popular because of problems with shielding and noise measurement.

The semi-circle method uses the input impedance of the commonemitter configuration [20-21]. The impedance of the base-emitter junction modeled by the hybrid- π transistor model is plotted on the complex impedance plane with frequency as a variable. The locus forms a semi-circle. The right intercept of the semi-circle on the real axis occurs at zero frequency. The impedance value at this point is the sum of r_{π} , r_{b} , and $(1+\beta)r_{e}$. The extrapolated value of the left intercept, which occurs at the infinite frequency, is the sum of $r_{\mbox{\scriptsize b}}$ and $r_{\mbox{\scriptsize e}}.$ The diameter of the circle depends on current. It is very large at small currents and very small at large currents. The locus, calculated from a simple one-lump model, is not an exact semi-circle [22]; thus, a semi-circle fit to the measured data yields errors for intercepts. At high frequencies, the parasitic and stray capacitances cause additional distortions. For these reasons, the accuracy of this method is questionable, particularly at small currents. Another disadvantage of this technique is the time required to obtain the data for various biases and the difficulty in predetermining the collector current at which r_{b} can be accurately measured.

The phase cancellation method [23] uses the input impedance of the common-base configuration. The collector current is varied until a certain value (I_{cm}) at which the input capacitance is zero. At this condition, the resistance reading is the sum of r_b , r_e , and $kT/(qI_{cm})$. This method is not applicable for transistors with large base resistances and low value of β , and for devices operating at high current, since this technique assumes $r_b << r_\pi$. There are other

techniques described in [24], but these techniques are not commonly used due to their complexity and poor accuracy. One approach, which is not a direct measurement method, is numerical simulation [14-16, 25]. As mentioned in Chapter One, this approach requires accurate knowledge of material parameters and other information for good accuracy. Thus this approach cannot yield an accurate absolute value for \mathbf{r}_b , but it can predict the general dependence of the base resistance as a function of current or voltage.

2.3 Theoretical Background

Neugroschel [26] demonstrated a small-signal impedance method to measure low-current values of r_b and emitter resistance $r_e.$ The method was demonstrated on relatively large transistors with the base-emitter area $A_{BE} \simeq 150~\mu m^2.$ In this chapter, we present a modified method [27], which removes the uncertainties of the original technique and extends the application of the method [26] to small-size device, down to $A_{BE} \simeq 1~\mu m^2.$ Measurement of r_b on actual small-size devices is essential to reveal multi-dimensional effects. The standard approach of using large-area devices to measure r_b and then do scale-down this value to small-size device is not accurate, since the scaling does not necessarily applies to $r_b.$

The method presented [26] is based on measurement of two input impedances of a transistor at small $V_{\rm BE} \le 0.4$ V; the common emitter (CE) impedance $Z_{\rm ine}$ and the common base (CB) impedance Zinb.

To derive the input impedances $Z_{\mbox{ine}}$ and $Z_{\mbox{inb}}$, we start from the hybrid- π representation of a bipolar transistor. We assume, as

Neugroschel did [26], that the effective base-emitter dynamic resistance $r_{\pi} > 1/\omega C_{\pi}$. This condition can be easily satisfied for any transistor for $V_{BE} \leq 0.4$ V at frequency f = 50 MHz. Other assumptions used in the derivation are given and justified in Appendix A. The expression for $Z_{\text{ine}} = R_{\text{ine}} - j X_{\text{ine}}$ is derived in Appendix A (see (A.2)) and is given here for convenience

$$R_{ine} = r_{bx} + r_{bi} \left(\frac{c_{\pi} + c_{\mu}}{c_{ine}} \right)^2 + r_e \left(\frac{c_{\pi}}{c_{ine}} \right)^2$$
 (2.1)

$$1/X_{ine} = \omega C_{ine} = \omega (C_{\pi} + C_{\mu} + C_{sc}) = \omega (C_{\pi} + C_{ic}),$$
 (2.2)

where c_{ine} is the total measured capacitance and equal to the sum of all capacitances of the equivalent circuit, and c_{jc} is the total base-collector space-charge region capacitance.

For the CB configuration we make use of Fig. B.1 (given in Appendix B) and obtain the following expression for z_{inb} (see (B.2))

$$R_{inb} = r_{bx} + r_{bi} + r_{e}$$
 (2.3)

$$1/X_{inb} = \omega C_{inb} = \omega C_{\pi}. \tag{2.4}$$

For a typical transistor with ${\rm R}_{SB}$ = 10 kg/square, ${\rm r}_b$ = ${\rm r}_{bX}$ + ${\rm r}_{bi}$ >> ${\rm r}_e$ for small bias levels and

$$R_{inb} \simeq r_b.$$
 (2.5)

There are two main differences between the CE and CB expressions. First, $R_{\mbox{inb}}$ does not include capacitances, which is an

advantage in a comparison with R $_{\rm ine}$. Second, C $_{\rm inb}$ gives directly the equivalent base-emitter capacitance C $_{\pi}$, while C $_{\rm ine}$ includes all capacitances of the equivalent circuit.

2.4 Experimental Results

2.4.1 Base and Emitter Resistances

The transistors used to demonstrate the new methods in this work were fabricated using a single layer poly-silicon process with a poly-silicon emitter contact and a metal base contact. The emitter size in these devices is very close to the mask size, except for a very small lateral emitter diffusion. The transistors have non-valled emitters with mask sizes of 2 x 4 μ m², 2 x 12 μ m², and 2 x 100 μ m², and a single-stripe base contact. The devices measured were mounted in TO-5 headers.

In Fig. 2.1, the solid line shows the measured dependence of $R_{\rm inb} = r_{\rm b}$ on $V_{\rm BE}$ for the transistor with 2 x 12 $\mu{\rm m}^2$ emitter. The data were obtained at a frequency f = 38 MHz with $V_{\rm BC}$ = 0 V using a Hewlett-Packard HP4194A impedance analyzer. The measurement instrument was zeroed with a dummy device before the readings of $Z_{\rm inb}$ were taken. The measured resistances and capacitances were nearly frequency independent in the range from 20 to 40 MHz as shown in Fig. 2.2. Further comments on importance of zeroing of parasitics using a dummy device will be presented in Section 2.5.1.

The measured $R_{\mbox{\scriptsize inb}}$ decreases nearly linearly with increasing $V_{\mbox{\scriptsize BE}},$ in an agreement with numerical predictions [14-16]. The sharp increase in $R_{\mbox{\scriptsize inb}}$ for $V_{\mbox{\scriptsize BE}}>0.4$ V is due to the violation of the

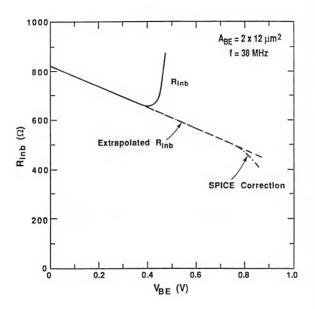


Fig. 2.1 Measured common-base input resistance R_{inb} as a function of base-emitter voltage V_{BE}. The dashed line is the linearly extraplated dependence.

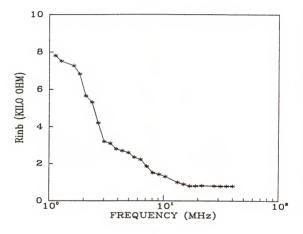


Fig. 2.2 Measured common-base input resistance $R_{\mbox{\scriptsize inb}}$ for emitter area of 2 x 12 μm^2 as a function of frequencies (VBE = 0.3 V).

assumption $r_{\pi} >> 1/\omega C_{\pi}$ (see Appendix B). Beyond this voltage, (2.3) and (2.4) are not valid. To find R_{inb} for higher voltages, ve linearly extrapolate the measured R_{inb} dependence, as shown in Fig. 2.1 by the dashed line. It was verified experimentally [28], by measuring the pinch resistance of a transistor base with two base contacts, that the extrapolation is valid as long as the lateral voltage drop $r_b I_b << kT/q$ ($\simeq 26 mV$ at 300 K). The validity of the extrapolation was also verified numerically [14-16]. For $r_b I_b \geq kT/2q$, the current crowding becomes significant, which causes a sharp decrease in r_b [29]. The transistor of Fig. 2.1, $r_b I_b < 5 mV$ for $V_{BE} < 0.9$ V.

Figure 2.3 shows the measured I-V curves for the 2 x 12 μm^2 transistor. The base current I_B deviates for $V_{BE} > 0.8$ V from its nearly ideal $exp(qV_{BE}/kT)$ dependence by

$$\Delta V = r_b I_B + r_e I_E. \tag{2.6}$$

Equation (2.6) is valid for any V_{BE} , provided that I_B is due to the recombination in the heavily doped emitter, which remains in low injection. The effect of r_e on ΔV is not negligible, even if $r_e << r_{bi}$, since $I_E = (1+\beta)r_eI_B$.

To find r_e , we combine ΔV from Fig. 2.3 with $r_b \approx R_{inb}$ from Fig. 2.1. For the V_{BE} range from 0.8 V to 0.85 V, we find that ΔV varies from 7 to 25 mV. Using (2.6) we find that r_e = 10 9. A more accurate SPICE analysis (see Chapter Three), using a filament transistor model with 20 sections, yields only a small correction

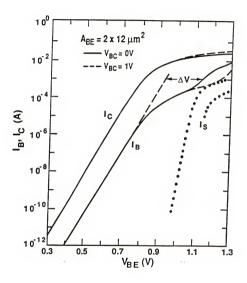


Fig. 2.3 Measured dependencies of the base and collector currents on V_{BE} for two values of V_{BC} . The dashed line extending the I_B plot is the ideal base current proportional to $\exp(qV/kT)$. The dotted curves are for the substrate current I_S for V_{CS} = 0 V (upper curve) and 0.2 V (lower curve).

shown in Fig. 2.1 by the dashed-dotted line and gives r_e = 10.2 Ω . Similar analysis for a 2 x 100 μm^2 transistor gave r_e = 2.2 Ω . Assuming that r_e is due mainly to the contact, this corresponds to the specific emitter contact resistance of ρ_C = r_eA_{BE} = 236 $\Omega.\mu m^2$ and ρ_C = 360 $\Omega.\mu m^2$, respectively.

In spite of the fact that current crowding occurs for $V_{BE} > 0.85$ V, the emitter current through the emitter contact will still be essentially uniform (see Chapter Three), and r_e will remain at the low-current value determined above (assuming that ρ_C is constant). This allows calculating r_b with (2.6) as a function of V_{BE} or the collector current I_C . The results are shown in Fig. 2.4 (a) and (b).

The kink in the I_B versus V_{BE} curve in Fig. 2.3 at V_{BE} = 1.1 V is due to the forward-biasing of the base-collector junction by the voltage drop $I_{C}R_{C}$ on the collector resistance R_{C} , which contributes to I_B in addition to the contribution from the base-emitter junction (see Chapter Four). Corresponding kinks seen in the r_b versus V_{BE} and r_b versus I_{C} plots in Fig. 2.4 (a) and (b) are due to the modulation of r_b by injection from the forward biased base-collector junction. The $I_{C}R_{C}$ drop will also forward bias the collector-substrate junction causing I_S to flow into the substrate. The kink in I_B - V_{BE} plot can be also caused by the emitter current of a parasitic p-n-p transistor flowing into the base. The substrate current, I_S , measured for V_{BC} = 0 V and two values of the collector-substrate reverse bias, V_{CS} = 0 and 0.2 V, is shown in Fig. 2.3 by the dotted lines. It is clear from Fig. 2.3 that I_S << I_B (note

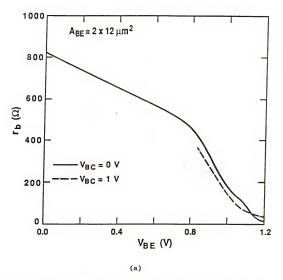
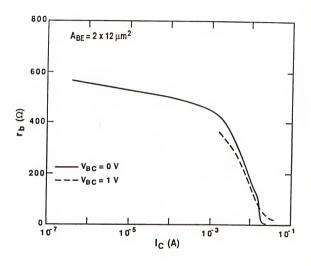


Fig. 2.4 The total base resistance \mathbf{r}_b as a function of (a) \mathbf{V}_{BE} and (b) \mathbf{I}_C .



(b)

Fig. 2.4 (continued)

also that I_B is the same for both values of V_{CS}). This clearly shows that the kink in the I_B-V_{BE} curve is not caused by I_S for the transistor of Fig. 2.3. The fact that I_S flows into the substrate and not out of the substrate was verified by observing the polarity of I_S . The deviation of I_C from the ideal exponential dependence is determined not only by ohmic losses (ΔV), but also by other high-current effects. As a result, no visible kink in $In(I_C)$ versus V_{BE} is observed, although a small kink is observed when the plot of I_C versus V_{BE} is displayed on a linear scale.

The broken lines in Fig. 2.4 (a) and (b) show the r_b plots for $V_{BC}=1$ V. Compared to the zero bias case, the reverse bias narrows the base thickness, which increases the low-current r_b , but decreases the current-crowding onset voltage. The low-current portion of the r_b dependence for $V_{BC}=1$ V could not be measured with the present experimental setup. At high currents, r_b will probably saturate at a value close to r_{bx} . For $V_{BE}>1.1$ V, however, our procedure for measuring r_b is not very reliable. One reason is a possible heating of the junction due to large I_C . In addition (2.6) uses the assumption that I_B is due to the recombination in the emitter only. This assumption may be violated because of the large density of holes and electrons recombining within the base and collector. The recombination at high currents has to account for the bandgap narrowing induced by the electronhole plasma [30], which further increases the current.

Results for R_{inb} for the three transistors measured are shown in Table 2.1. Since the emitters in these transistors are non-valled, the calculation of the base sheet resistance from R_{inb} is not straightforward and depends on the layout as well as other variables [25,31].

2.4.2. Extrinsic Base Resistance rhy

Because r_{bx} can be considered as current independent, except for very high currents, it can be conveniently determined from the zero-bias values of $R_{ine}(0)$ and $R_{inb}(0)$. If $C_{\mu} \ll C_{\pi}$, which is a good approximation because the base-emitter space-charge region capacitance per unit area is about 10 times larger than that of the base-collector junction (see Tables 2.2 and 2.3); then,

$$r_{bx} \approx R_{inb}(0) - r_{bi}(0)$$

$$= \frac{R_{ine}(0) - R_{inb}(0) \left[(C_{\pi} + C_{\mu})/C_{ine} \right]^{2}}{1 - \left[(C_{\pi} + C_{\mu})/C_{ine} \right]^{2}}.$$
 (2.7)

Because of coupling between the active and inactive base, a separation of the total base resistance r_b into r_{bi} and r_{bx} is possible only for transistors with valled emitters or for transistors with very long emitter stripe. Since transistors with valled emitters were not available, the measurement was done for a 2 x 100 μ m² transistor and yielded r_{bx} = 6 9.

Table 2.1 Summary of the measured results for the base resistance.

Emitter Mask Size	Cs	RS	Cinb	ΔC _{par}	R _{inb} (0)
(µm2	(fF)	(Ω)	(fF)	(fF)	(Ω)
2 x 4	30.4	1023	21	+9.4	2143
2 x 12	70.8	904	73.5	-2.7	839
2 x 100	568	129.9	589	-21	121

Table 2.2 Summary of the measured base-collector space-charge region capacitances. The zero-bias capacitance $C_{jc}(0)$ is obtained from (2.8).

Emitter Mask Size	CCE	CCB	Cjc(0)	A _{BC}
(µm)	(fF)	(fF)	(fF)	(µm ²)
2 x 4	117	95	23	8 x 10
2 x 12	201.5	143.5	58	16 x 10
2 x 100	1048	694	354	104 x 10

Table 2.3 Summary of the measured base-emitter space-charge region capacitances. C_{CE} and C_{CB} are the measured capacitances for the inverted emitter-down transistors.

Emitter Mask Size	CCE	C _{CB}	C _{je} (0)
(µm2)	(fF)	(fF)	(fF)
2 x 4	117	96	21
2 x 12	201.5	128	73.5
2 x 100	1059	470	589

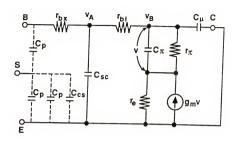
2.4.3. Base-Emitter and Base-Collector Space-Charge Capacitance

To determine the base-collector space-charge region (junction) capacitances C_{jc} , we measure the input CE and CB capacitances. The measured input capacitances will, in addition to the intrinsic capacitances C_{ine} and C_{inb} given by (2.2) and (2.4), include the parasitic capacitance. The parasitic capacitance C_p , due to the bondpads and metallization over the oxide, will appear in the equivalent diagrams in Fig. 2.5 between the terminals and the substrate which is left floating. It can be shown that, for conditions in which (2.3) and (2.4) are valid, the parasitic capacitances C_{cs} , including the collector-substrate capacitance, can be coupled into one effective capacitance C_{par} between the input base and emitter terminals for both the CE and CB configurations as shown in Fig. 2.5 (a) and (b). The total input capacitances are then $C_{CE} = C_{ine} + C_{par}$ and $C_{CB} = C_{inb} + C_{par}$. Thus, using (2.2) and (2.4) we obtain

$$C_{CE} - C_{CB} = (C_{ine} + C_{par}) - (C_{inb} + C_{par}) = C_{ic}.$$
 (2.8)

The base-collector capacitance is obtained simply by subtracting the two measured input capacitances. Similarly, the base-emitter junction capacitance \mathcal{C}_{je} is obtained from measurements of the inverted emitter-down transistor.

One important difference between the new method and the standard direct measurement of junction capacitances is that accurate direct measurement requires perfect zeroing by a dummy



(a)

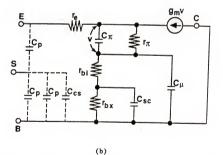


Fig. 2.5 The equivalent circuits of common-emitter (a) and common -base (b) for a transistor. C_D and C_{CS} at the inputs are the stray capacitances between the bonding pads and the substrate and the collector-substrate capacitance, respectively.

device. This is not possible for small devices in which the parasitic capacitances are much larger than the intrinsic capacitances because of variations over the wafer in oxide thickness and geometry, and also because the metal coverage for the dummy is different from that for the active transistor in the vicinity of the contact holes. Additional error may be due to the stray capacitances of the probe tips for on-wafer probing. Because our method does not require the dummy device, the measurement error due to zeroing is practically eliminated. The measurement can be done without disconnecting the probes by using a jumper to short the collector to the emitter or to the base. Both input capacitances can be measured with an accuracy of about ± 0.3 fF allowing measurement on devices with $A_{\rm BE} \approx 1~\mu m^2$. The measuring frequency can be as low as 1 MHz, or less, making on-wafer measurements relatively easy.

The results for the zero-bias capacitances $C_{jc}(0)$ and $C_{je}(0)$ in three different transistors measured at a frequency of 1 MHz are summarized in Tables 2.2 and 2.3. The results of on-wafer measurements for MOSAIC III devices are shown in Table 2.4. Column 3 in Table 2.4 shows the results of the direct measurement method, $C_{je}(\text{Mot})$, for comparison. The agreement is excellent except for the smallest devices. This shows, that both techniques are accurate. The substraction method using (2.8) will be, however, more reliable for smaller devices because of the reasons discussed briefly above. The method can be used for any reverse bias. The applicability for

Table 2.4 Summary of on-wafer measurements for MOSAIC III devices.

Emitter Mask Size	Cjc	C _{jc} (Mot)	Cje	C _{je} (Mot)
(µm2)	(fF)	(fF)	(fF)	(fF)
1.5 x 4	15.8	17.0	21.1	19.0
1.5 x 4	16.2	17.0	20.6	20.0
2 x (1.5 x 4)	30.7	32.0	39.4	39.0
1.5 x 8	25.4	26.0	38.1	38.0
1.5 x 12	34.0	34.0	57.2	56.0
2 x (5.75 x 16.5)	188.8	186.0	392.6	393.0
2 x (5.75 x 16.5)	189.3	186.0	396.7	391.0
5 x (2 x 19)	321.7	322.0	633.7	613.5
2 x 12	36.5	37.0	67.2	66.0
x 8	27.9	27.0	44.7	45.0
x (2 x 4)	33.1	34.0	47.9	47.5
x 4	18.1	18.0	25.5	24.0
x 4	17.5	17.0	25.9	24.0

forward bias is limited by the requirement ωC_π >> r_π , and breaks down for $V_{BE} \geq 0.4$ V (see Fig. 2.1).

 C_{je} can be accurately extrapolated to higher voltages either numerically [32] or analytically [9] from the measured $C_{je}(0)$. The calculations for $V_{BE} > 0.8$ V should include the effects of the electron-hole plasma in the SCR [30]. This effect is generally ignored, but recent calculations show that the electron-hole plasma will increase the capacitance by as much as a factor of three to four in a comparison with standard values [33].

2.4.4. Measurement of Junction Temperature and Saturation Currents

The ideal collector current of a bipolar transistor and the base sheet resistance $R_{SB} \propto r_{bi}$ can be expressed by standard relations:

$$I_{C} = \frac{Aqn_{1}^{2} \exp (qV_{BE}/kT)}{\int_{0}^{V_{b}} \frac{N_{B}(x)}{D_{n}(x)} dx}$$

$$= \frac{\text{Aqn}_1^2 \overline{D}_n \exp(q V_{BE}/kT)}{\int_0^{V_b} N_B(x) dx} = I_{CO} \exp(q V_{BE}/kT)$$
 (2.9)

$$R_{SB} = \left(\int_{0}^{\mathbf{V}_{b}} q \mu_{p}(\mathbf{x}) N_{B}(\mathbf{x}) d\mathbf{x} \right)^{-1}$$

$$\approx \left(q \overline{\mu}_{p} \int_{0}^{\mathbf{V}_{b}} N_{B}(\mathbf{x}) d\mathbf{x} \right)^{-1}, \qquad (2.10)$$

where $N_B(x)$ is the position dependent base doping, \overline{D}_n is the spatially averaged minority-carrier diffusion coefficient in the base, and $\overline{\mu}_p$ is the spatially averaged majority-carrier mobility in the base. The junction temperature can be obtained using (2.9) from kT/q = dVBE/d(lnI_C). This is strictly valid only if the width of the quasi-neutral base W_b remains constant with increasing V_{BE} . But, as Fig. 2.1 clearly indicates, W_b increases (measured R_{inb} decreases) with increasing V_{BE} . Since I_C depends inversely on the integrated neutral base impurity density, the measured I_C varies with V_{RE} as I_{CO} exp(qV_{BE} /mkT) with the voltage dependent slope factor $m(V_{RE}) > 1$. Thus,

$$dV_{BE}/d(\ln I_C) = m(V_{BE}) kT/\eta. \qquad (2.11)$$

Equation (2.11) cannot be used to obtain the accurate junction temperature, because the voltage dependent slope factor $m(V_{BE})$ is not known. To obtain the correct temperature from I_C , we have to make a correction to account for the W_b variation with V_{BE} . This can be done approximately by taking the ratio of (2.9) and (2.10)

$$I_{C}/R_{SB} = Aq^{2}n_{1}^{2}$$
 ($\overline{D}_{n}\overline{\mu}_{p}$) $exp(qV_{BE}/kT)$. (2.12)

The parameters \overline{D}_n and $\overline{\mu}_p$ are somewhat voltage dependent, but it can be shown numerically that in the V_{BE} range from, e.g., 0.4 V to 0.8 V, the dominant factor causing the R_{inb} decay shown in Fig. 2.1 is the integrated base doping. Taking $\overline{D}_n\overline{\mu}_p$ as V_{BE} independent

gives I_C/R_{SB} = $Cexp(qV_{BE}/kT)$, where C is a temperature—independent constant, and

$$dV_{BE}/d(ln(I_C/R_{SB})) = kT/q. \qquad (2.13)$$

The slope given by (2.13) is independent of V_{BE} and yields the correct junction temperature T. A correction for the voltage dependence of $\overline{D}_n \overline{\mu}_p$ can be made numerically, if the base doping is known.

To illustrate the procedure we give here the results of analysis for a 2 x 100 μm^2 transistor. The saturation current I_{CO} obtained from the measured $I_{C^-}V_{BE}$ curve depends on the range of voltage chosen. The least square fit for the range from 0.34 V to 0.7 V yields kT/q = 25.84 mV (T = 299.6 K) and I_{CO} = 2.6 x 10⁻¹⁶ A. Using the range from 0.5 V to 0.7 V gives kT/q mV (T = 300.76 K) and I_{CO} = 2.83 x 10⁻¹⁶ A. The $I_{C^-}V_{bi}$ dependence gives a straight line with the slope kT/q = 25.51 mV (T = 295.94 K) and I_{CO} = 2.2 x 10⁻¹⁶ A using $r_{bi}(0)$ = $r_{b}(0)$ - r_{bx} = 121 Ω - 7 Ω = 114 Ω . Using the correct T we find the slope factor m = 1.012 - 1.016 for the measured I_{C^-} The ambient temperature monitored by a precision thermistor with an accuracy of +0.2 K was T = 296.26 K.

The discrepancy of about 4 K between the actual T and that obtained from the I_C dependence corresponds to the ratio of the intrinsic carrier concentrations of about $n_1^2(300 \text{ K})/n_1^2(296 \text{ K})$ = 2. This discrepancy is important if we want to verify the accuracy of device simulators or analytical models by comparing the measured I_C

and I_B with calculations (which require the temperature as an input). The discrepancies between I_{CO} from the I_{C} -V_{BE} and $(I_{C}$ /r_{bi})-V_{BE} curves will be much larger at smaller temperatures. For example, at T = 100 K, an extrapolation of I_C from V_{BE} = 1 V to V_{BE} = 0 V with the slope factor of m = 1.015 will overestimate I_{CO} by a factor of about 5.

Saturation currents depend on material parameters, such as mobility, bandgap narrowing, doping profile, etc., and are, therefore, the main measured parameters from which the above transport parameters are extracted. This cannot be done without accurate and reliable determination of the saturation currents and the corresponding device temperature.

The measured ln I_B -V_{BE} curves for the devices tested also shows m>1. Thus, a direct extrapolation gives an error in I_{BO} . The error is minimized by taking $I_{BO}=I_{CO}/\beta_{max}$, where I_{CO} is found from (2.16) and β_{max} is the maximum DC current gain. It is reasonable to assume that, for V_{BE} at which $\beta=\beta_{max}$, the space-charge region recombination is minimized.

2.4.5 Resistance Measurements for Hetrojunction Bipolar Transistors

Resistance analysis of HBTs using the DC I-V curves is particularly difficult because typical I-V curves are very nonideal. This makes even an estimate of resistance using DC methods difficult. To illustrate this, we show in Fig. 2.6 a typical $\rm I_B-V_{BE}$ curve for an n-p-n GaAlAs/GaAs HBT that follows an $\rm I_B$ \simeq exp(qV/mkT) dependence with m = 1.5 to 1.9. This indicates that $\rm I_B$ is dominated

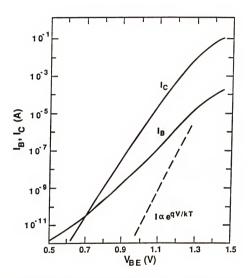


Fig. 2.6 I_{C} -V_{BE} and I_{B} -V_{BE} characteristics for a heterojunction GaAlAs/GaAs transistor. The dashed line is a reference line with an ideal exponential voltage dependence.

by the SCR recombination current. The $I_{\mbox{\footnotesize{C}}}\!\!-\!\!\!V_{\mbox{\footnotesize{BE}}}$ curve gives m \simeq 1.05. The transistor was fabricated by LPE growth method and has a circular geometry with a diameter of 60 $\mu\text{m}\text{.}$ The base width is about 0.2 μ m and is uniformly doped with about 5 x 10¹⁸ acceptors/cm³. Analysis of series resistances for transistor with such non-ideal base current using DC techniques is not possible, since they require an ideal exp(qV/kT) component as a reference current. technique does not have this restriction and is ideally suited for the analysis of HBTs. The results are shown in Fig. 2.7 (a) and (b). Figure 2.7 (a) shows the measured resistances $R_{\mbox{inb}}$ and $R_{\mbox{ine}}$ as a function of $V_{\mbox{\footnotesize{BE}}}.$ Figure 2.7 (b) displays the resistances $r_{\mbox{\footnotesize{bi}}}$ and $m r_{bx}$ obtained from (2.1), (2.3), and (2.7). In contrast to the Si case of Fig. 2.1, the rbi for the HBT is almost current independent because the base is heavily and uniformly doped, which makes the $R_{\mbox{\footnotesize SB}}$ modulation due to $V_{\mbox{\footnotesize{BE}}}$ negligible. Because of large bandgaps of GaAlAs and GaAs, the applicability of the ac method extends about $V_{RR} \simeq 1$ V. The large base doping makes the intrinsic and extrinsic base resistances comparable, and they can be both determined accurately. The extrinsic resistance \mathbf{r}_{bx} includes the base contact resistance, which appears to be very important in limiting the speed of HBT [34-35]. The emitter resistance was negligible for the very large test transistor and could not be found accurately. However, for small-size HBTs, which were not available for testing, all three resistances can be measured.

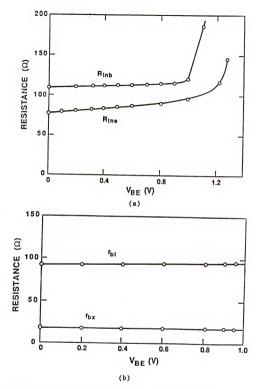


Fig. 2.7 Measured results for the heterojunction transistor.

- (a) Measured input resistances for the common-base and common-emitter for the HBT from Fig. 2.6.
 (b) The base intrinsic and extrinsic resistances versus VBE from (a).

2.5 Discussion

2.5.1 Accuracy Considerations for Measurement of Base Resistance

The procedure for measuring the base resistance using the common-base configuration requires compensation of parasitics by zeroing with a dummy device. For a perfect zeroing, the measured values $R_{\rm S}$ and $C_{\rm S}$, are equal to the intrinsic parameters $R_{\rm inb}$ and $C_{\rm inb}$ given by (2.3) and (2.4). Since our method uses frequency f \leq 50 MHz, the transmission line effects of the parasitics can be neglected and they can be considered to be nearly pure capacitors. Since a perfect zeroing for $C_{\rm par}$ is not possible, an error $\Delta C_{\rm par}$ gives $C_{\rm S}=C_{\rm inb}\pm\Delta C_{\rm par}$, and

$$R_{S} = R_{inb} \left(\frac{C_{inb}}{C_{inb} + \Delta C_{par}} \right)^{2} = R_{inb} \left(\frac{C_{inb}}{C_{s}} \right)^{2}.$$
 (2.14)

To be sure that no error in R_{inb} is caused by assuming that $\Delta C_{par} = 0$, we need a self-consistency test for the zeroing procedure that would give us the residual uncompensated or overcompensated ΔC_{par} (either positive or negative) and C_{inb} . This is particularly important for small devices where $C_{par} \gg C_{inb}$. The correct R_{inb} can be then obtained from (2.14), in spite of imperfect zeroing. The compensation offset ΔC_{par} is obtained from two measured values as $\Delta C_{par} = C_S - C_{inb}$. As pointed out above, C_{inb} for the inverted emitter-down transistor is found very accurately from $C_{inb} = C_{CE} - C_{CB}$. This measurement is immune to parasitics because of the subtraction involved.

Table 2.1 shows the measured values $R_{\rm S}$, $C_{\rm S}$, and $C_{\rm inb}$ = $C_{\rm je}$ (see Table 2.3). Notice that $\Delta C_{\rm par}$ is positive for the 2 x 4 μm^2 device, but is negative for the other two devices. The last column in Table 2.1 shows the corrected values for $r_{\rm b}$ = $R_{\rm inb}$ obtained using (2.14).

We have found that most reliable and reproducible results are obtained by measuring both the dummy device and the active device in a parallel equivalent mode. The capacitance and conductance of the dummy device are then subtracted from those for the active device and converted to the series values $R_{\rm S}$ and $C_{\rm S}$.

2.5.2 Comments on the Open-Collector Method for Measurement of the $\overline{\text{Emitter Resistances}}\ r_{\text{e}}$

The open-collector method is the most commonly used techniques to measure r_e . The method requires only DC measurement of the collector-emitter saturation voltage V_{CES} as a function of I_B with the collector open-circuited. The emitter resistance is then calculated from $re = dV_{CES}/dI_B \ [24]$. Some modifications of this procedure are suggested in [36-37]. Figure 2.8 shows the V_{CES} dependence on I_B for the 2 x 12 μm^2 transistor. The reciprocal slope of the dashed line taken at $V_{CES} = 30$ mV gives $dV_{CES}/dI_B = 17.5$ Q. The dependence is not linear and suggests that r_e decreases with increasing I_B . For example, $dV_{CES}/dI_B = 14$ Q at $V_{CES} = 80$ mV. It is easy to show that neither of these values is possible. To demonstrate that we go to Fig. 2.3 and translate the measured I_B-V_{BE} curve to the left by the voltage drop $(-\Delta V) = I_{ET}_e$ for $V_{BE} \le 1$ V. The corrected I_B , using the open-collector values for r_e , will be on

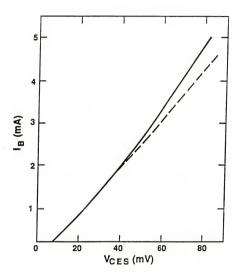


Fig. 2.8 Collector-emitter saturation voltage as a function of base current for the 2 x 12 $\mu\mathrm{m}^2$ for measurement of emitter resistance by flyback method.

the left of the ideal dashed line (even vithout considering the $r_b I_b$ contribution to $\Delta V),$ which is not possible. The open-collector method clearly overestimates $r_e.$ The correct value for r_e = 10.2 ${\tt Q}$ was found previously using our method. Another technique for measuring r_e vill be suggested in Chapter four.

2.6 Conclusions

New measurement methods for accurate analysis of submicrometeremitter bipolar transistors were presented. Measurement of the base
resistance for a wide range of collector current was demonstrated.
The new method for the measurement of the space-charge region
capacitances does not require the use of a dummy device for the
compensation of the parasitics, which avoids zeroing errors
occurring in standard capacitance measurements. The accuracy of
about ±0.3 fF for the measurement of the base-emitter and basecollector capacitances can be employed to study the effects of
process variations in order to optimize the speed. The ability to
measure small-size transistors, instead of using large test devices,
can be used to investigate yield, uniformity across the wafer, and
multi-dimensional effects. The measurements suggested in this paper
can be performed at frequencies from about 1 to about 40 MHz. This
relatively low frequency range makes on-wafer testing feasible.

CHAPTER THREE MODELING OF THE EFFECTIVE EMITTER RESISTANCE

3.1 Introduction

In the most videly used common-emitter (CE) configuration the emitter resistance is amplified by the CE current gain (\$\theta\$). Thus, an accurate analysis of the emitter resistance is necessary for exact transistor modeling. The emitter resistance is usually considered to be dependent only on the physical parameters of a transistor. However, as will be shown later, the emitter resistance also varies with current because of contact and emitter crowding. The emitter resistance at low current levels is simply determined by the emitter contact resistance. The emitter resistance at the operating point where the emitter crowding is significant has two components. The first component is from the interface layer of the contact, the second one is from the semiconductor layer under the contact hole.

In Section 3.2 we introduce physics necessary to characterize the contact resistance. In Section 3.3 we develop new model for the accurate evaluation of the emitter resistance as a function of current. The extraction method for the emitter resistance based on the new model is suggested in Section 3.4. Section 3.5 presents the computer simulation results and the experimental results. Conclusions will be given in Section 3.5.

3.2 Basic Physics for the Contact Resistance

3.2.1 Classification of Contacts

The contacts of semiconductor devices can be classified into two types, the horizontal-type or the vertical-type, depending on the direction of the current flow in the semiconductor under the planar contact. For a better illustration, a cross-section of a bipolar transistor is shown in Fig. 3.1. Near the base contact region, the current flows mostly horizontally, which causes current crowding at the contact edge. This characterizes the horizontal-type contact. Since most of the collector current in the integrated circuit transistor flows through the heavily-doped buried layer, the current under the collector contact flows almost vertically. Thus, the collector contact is an example of a vertical-type contact. The emitter contact belongs to both types, depending on the bias level and other factors. At the bias level where the emitter crowding is not significant, the emitter contact acts as a vertical contact. As the emitter crowding becomes significant, the emitter contact may become the horizontal-type contact, or in between the horizontal and vertical types. Most of the contacts in MOS belong to horizontal-type. Many efforts were made to characterize horizontal-type contact for MOS and other devices [38-45]. The characterization for the emitter contact is more complicated than for a pure horizontal-type because of the reasons mentioned above.

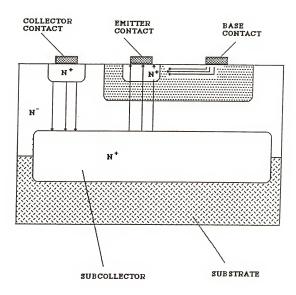


Fig. 3.1 Cross-section of a planar n-p-n transistor with the main current flows indicated by arrows.

3.2.2 Specific Contact Resistance

The specific contact resistance (ρ_C) in Ω -cm² is defined as the resistance of unit area of the interfacial layer between two conduction layers and is expressed as the ratio of voltage drop across the interfacial layer to the current density. The carrier transport through the interfacial layer is governed by the thermionic emission over the barrier and tunneling through the barrier [46]. The relative magnitude of the two components depends on the barrier height, temperature, doping concentration, and other factors. For low doped samples, the thermionic emission component dominates over the tunneling component. When the doping concentration is high, the depletion region width near the interface in the semiconductor becomes very narrow. Therefore, carriers can easily tunnel through the barrier.

3.2.3 Contact Crowding and Transmission Line Model

Using the definition of $\rho_{\rm C}$, we can easily estimate the resistance value for the vertical contact. But, the contact resistance for the horizontal contact cannot be easily expressed by $R_{\rm C} = \rho_{\rm C}/{\rm Area}$, because the current density through the contact hole is not uniform due to the so-called contact crowding [6-7]. Figure 3.2 shows the cross-section of a contact and its distributed equivalent circuit. In Fig. 3.2 (b),

$$dR = \frac{R_S}{V} dx \qquad (3.1)$$

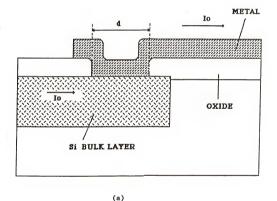
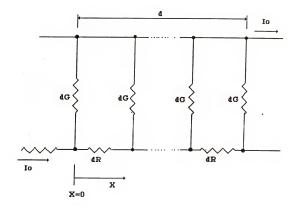


Fig. 3.2 Schematic illustration of a contact hole for the modeling of contact crowding.

- (a) Cross-section of a contact hole. Current flows into the left edge of the contact hole and flows out from the right edge.
- (b) Transmission-Line Model for the contact depicted in (a).



(b)

Fig. 3.2 (continued)

$$dG = \frac{V}{\rho_c} dx, \qquad (3.2)$$

where $R_{\rm S}$, V, and dX are the sheet resistance of the semiconductor layer under the contact hole, the vidth of the contact hole, and the length of a single lump of the distributed model, respectively. The resistance component of the metal layer is neglected because of its small resistivity. The current flowing to the contact edge in the semiconductor layer will travel through the path which gives the minimum resistance.

Muramann and Widmann [6] and Berger [7] modeled the contact resistance by a Transmission Line Model (TLM), which is widely used for characterizing the horizontal-type contact [35-45]. To qualitatively understand the basic concept of the contact crowding, let us assume that d << W, where d is the length of a contact. Then the current distribution j(x) across the contact hole can be expressed by

$$j(x) = \frac{I_0}{V} \sqrt{\alpha} \frac{\cosh \sqrt{\alpha}(x - d)}{\sinh \sqrt{\alpha} d},$$
 (3.3)

where I_0 is the total current through the contact lead, α = $(R_S/\rho_C)^{1/2},$ and R_S is the sheet resistance of the semiconductor layer under the contact.

The current distribution across the contact hole for various values of $y=x(R_{\rm S}/\rho_{\rm C})^{1/2}$ is shown in Fig. 3.3. The contact with the higher $R_{\rm S}$, longer d, and smaller $\rho_{\rm C}$ has heavier contact

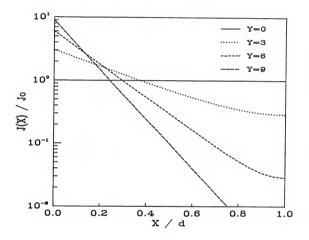


Fig. 3.3 Current distribution across the contact hole shown in Fig. 3.2.

crowding. This means that the effective resistance of this kind of contact is larger than the value from the simple formula $R_{\rm C}=p_{\rm C}/{\rm Area}$. Note also that for y = 0 the current flows uniformly across the contact. This situation occurs for very small $R_{\rm S}$ or very large $\rho_{\rm C}$.

3.3 Model for the Emitter Resistance

As discussed in Section 3.2, the emitter contact of a bipolar transistor can be classified into one of two categories depending on the operating condition. For the emitter contact to operate as an ideal horizontal-type contact, the emitter current should flow only into the one side of the contact. This cannot, however, occur in a real transistor as is explained below. Since the tendency of the emitter current to flow into one side of the emitter contact is controlled by the emitter crowding, we have to accurately analyze the emitter crowding. Although many authors treated the problem of the emitter crowding [8, 29], their approaches are not applicable for our case because they neglected the contribution of the emitter resistance to the emitter crowding. This neglect overestimates the emitter crowding, because the negative feedback from the emitter resistance is not taken into the account.

A single transistor can be modeled as a combination of elementary one-dimensional transistors [15]. By adding TLM for the emitter contact to this combination of elementary transistors, we obtain the equivalent circuit shown in Fig. 3.4. For a simplification of the analysis, we make the following assumptions:

- (1) the current gain β is independent of position and current,
- (2) the base conductivity modulation and push-out are negligible, and
- (3) the emitter has a butted structure.

Although the above assumptions cause some error, they are reasonable in a sense that the results give a correct trend for the emitter resistance dependence on current, $\rho_{\rm C}$, sheet resistances of the base and emitter, and geometry. This is also confirmed experimentally. The transistor shown in Fig. 3.4 has the width of W and the length of d. The elements of Fig. 3.4 are defined as follows

$$\Delta R_{B} = R_{SB} \cdot \Delta d/W \tag{3.4}$$

$$\Delta R_{EV} = \frac{\rho_C}{\Delta d.V}$$
 (3.5)

$$\Delta R_{\rm EL} = \frac{R_{\rm SE} \cdot \Delta d}{V}, \qquad (3.6)$$

where R_{SB} is the base sheet resistance, R_{SE} is the emitter sheet resistance, Δd = d/N, and N is the numbers of the elementary transistors used in modeling.

3.4 Computer Simulation

In the previous Section, we have developed an equivalent circuit model for the purpose of modeling the emitter resistance. Our next step is to extract the effective emitter resistance from the model. From Fig. 3.4, we can derive a set of nonlinear

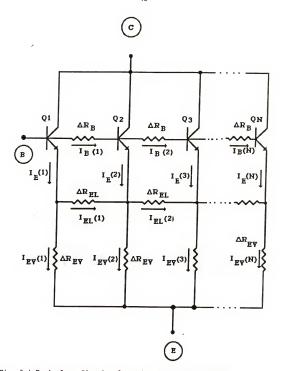


Fig. 3.4 Equivalent Circuit of sectioned transistor model. ΔR_{EV} and ΔR_{EL} represent the resistances from interface layer of contact hole and emitter bulk(n⁺).

differential equations with respect to position x, $V_{\text{RP}}(x)$, and $I_{R}(x)$. These equations can be solved numerically. It is easier, however, to analyze the circuit of Fig. 3.4 using the circuit simulator SLICE, which is a modified version of SPICE by HARRIS. Another advantage of using SLICE is that we can easily sweep all elements of the circuit. If a transistor is divided into enough number of elementary transistors, the results from SLICE will be equivalent to the solution of the differential equations. We confirmed the validity of using SLICE by comparing the base resistance values for the single-base contact from SLICE with the values from the well-known loe current formula $(R_R = R_{SR}d/3W)$. If a transistor is divided into 20 elementary transistors, the deviation between the two approaches is within 5%. The simulation shown below uses 20 elementary transistors. We varied four basic parameters, and selected the values of the parameters as close as possible to the values for the real devices. The ranges for four parameters are:

 $R_{SB} = 3,000 \text{ to } 11,000 \Omega/\text{square}$

 $\rho_C = 10$ to 200 $\Omega - \mu m^2$

 $R_{SE} = 3$ to 20 Q/square

d = 0.5 to 10 μm_{\star}

The results from the SLICE simulation give only the voltages at the nodes and the currents through the elements. From these, we have to extract the resistance values for the emitter and base resistances. The power dissipation concept [31] was used in calculating $R_{\rm B}$ and $R_{\rm E}$:

$$R_{B} = \frac{\sum_{i=1}^{20} I_{Bi}^{2} \cdot \Delta R_{B}}{I_{B}^{2}}$$
(3.7)

$$R_{E} = \frac{\sum_{i=1}^{20} (\Delta R_{EV}.I_{EV}(i)^{2} + \Delta R_{EL}.I_{EL}(i)^{2})}{I_{E}^{2}},$$
(3.8)

where I_B , I_E , $I_B(i)$, $I_{EV}(i)$, and $I_{EL}(i)$ are base terminal current, emitter terminal current, the base current of an elementary transistor at a certain position (i), and emitter current through the interface layer of the contact and the semiconductor layer in the emitter at a certain position (i), respectively. The vertical resistance component of the emitter region is neglected due to heavily-doped shallow emitter. The first term of (3.8) represents the emitter resistance component from the interface layer of the emitter contact. The second term of (3.8) represents the emitter resistance from the n^+ layer.

3.5 Results and Discussion

3.5.1 Computer Simulation Results

For the understanding of the emitter and contact crowding of a transistor, we first consider Fig. 3.5 that shows the current distribution for $V_{BE}=0.85$ V, $R_{SB}=6000$ $\Omega/square$, $\rho_{C}=30$ $\Omega-\mu m^{2}$, $V_{BE}=10$ μ m, and $V_{BE}=10$ μ m. The y-axis of this graph represents the normalized values of each current to its value at x = 0.25 μ m. The

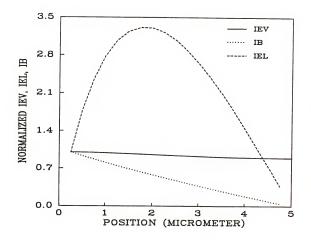


Fig. 3.5 Current distributions for the transistor in Fig. 3.4. Igy, Ig_I, and I_B are the currents through ΔR_{EV} , ΔR_{EL} , and ΔR_B , respectively. Emitter length d=5 μm , specific contact resistance of the emitter contact $\rho_C=30$ $\rho_C=20$, $V_{BE}=0.85$ V, the base sheet resistance $R_{SB}=6000$ 9/square, and the emitter sheet resistance $R_{SE}=10$ 9/square.

currents at $x = 0.25 \mu m$ correspond to the first transistor in Fig. 3.4, since a transistor with d = 5 μm is divided into 20 elementary transistors. The single base contact is located at x = 0 μm . The base current $I_B(i)$ decreases with increasing x because the elementary transistors far away from the base contact have less forward bias at the base-emitter junction than the transistors near the base contact due to voltage drop in the base. This phenomena is well-known as the emitter crowding. As the emitter current crowds near the base contact, the emitter contact starts to act as the horizontal-type contact. The emitter currents ${
m I}_{
m E}({
m i})$ of the transistors in the heavy emitter-crowding zone will not pass entirely through $\Delta R_{\mbox{\footnotesize{EV}}}$ connected to each transistor. If the entire emitter current of each transistor flows into $\Delta R_{\rm EV}$ connected to it, the emitter resistance becomes large due to the significant contribution from $\Delta R_{EV} = \rho_c/(\Delta d \times W)$. Thus, $I_{EV}(i)$ flows more uniformly compared to the base and emitter currents. We can easily understand this mechanism, if we check the distribution of the current I_{EL} flowing through ΔR_{EL} . The emitter current of Q_1 in Fig. 3.4 passes partially through $\Delta R_{\mbox{\footnotesize{EV1}}},$ the rest flows through the next $\Delta R_{\hbox{\scriptsize EL}}.$ This tendency continues until $I_{\hbox{\scriptsize E}}(i)$ becomes larger than $\mathbf{I}_{EV}(\text{i}).$ Thus, \mathbf{I}_{EL} in Fig. 3.5 increases due to the contribution from the rest of the emitter currents of the previous transistors which have enough emitter currents in supplying $I_{\text{EV}}(i)$. After the peak point, $I_{\hbox{\scriptsize EL}}$ decreases to support insufficient $I_{\hbox{\scriptsize E}}(i)$ in supplying I_{EV}(i).

A) The effect of the emitter crowding:

Since the tendency for the emitter current to flow into one side of the emitter contact depends on the emitter crowding, the heavier emitter crowding causes heavier contact crowding. The emitter crowding is a function of R_{SB} , d, and V_{BE} . Fig. 3.6 displays the effective emitter resistance (R_{EFF}), normalized to low current value of $\rho_{c}/Area$, as a function of d. R_{EFF} increases with the emitter length and becomes larger for the higher R_{SB} at the same emitter length. The dependence of R_{EFF} on V_{BE} is depicted in Fig. 3.7. Figures 3.6 and 3.7 support our idea: the contact crowding becomes significant for heavy emitter crowding.

B) The effect of the contact crowding:

If the emitter crowding occurs, we have to also consider the contact crowding to properly model Rgff. We cannot apply the theory developed by Murramann and Widmann [6] and Berger [7] to the emitter contact of bipolar transistor, because the emitter current does not flow into the one side of the emitter contact, but is rather distributed (see Fig. 3.5). It is hard to evaluate the emitter resistance analytically. Thus, we rely on computer simulation. To investigate Rgff as a function of the contact crowding, we use the following parameters:

 ρ_{C} = 10 Q- μm^{2} to 250 Q- μm^{2} for R_{SE} = 5, 10,15 Q/square

d = 0.5 μm to 10 μm for ρ_{c} = 10, 60, 110 $\Omega - \mu m^{2}$.

First, we consider the effect of ρ_C and $R_{\mbox{SE}}$ on the emitter resistance. In Fig. 3.8, $R_{\mbox{EFF}}/R_{\mbox{EO}}$ decreases as ρ_C increases. For

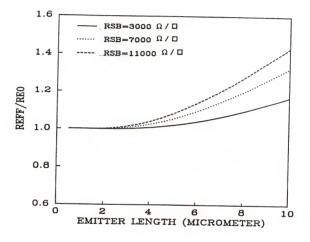


Fig. 3.6 Effective emitter resistance $R_{\rm EFF}$ as a function of emitter length. $R_{\rm EFF}$ is normalized to the low current emitter resistance ($\rho_c/Area$). ($V_{\rm BE}=0.9$ V, $R_{\rm SE}=10$ Q/square, $\rho_{\rm C}=50$ Q- $\mu{\rm m}^2$, d = 10 $\mu{\rm m}$)

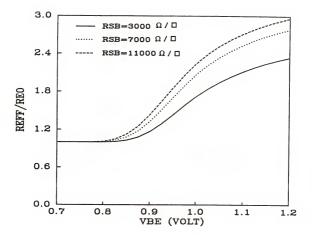


Fig. 3.7 Effective emitter resistance R_{EFE} as a function of V_{BE} . (R_{SE} = 10 $\Omega/square$, ρ_{C} = 50 $\Omega/square$, d = 10 μm , R_{SB} = 7000 $\Omega/square$)

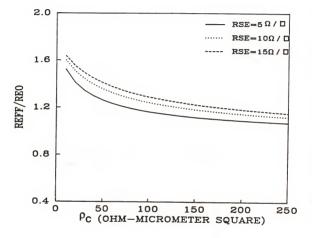


Fig. 3.8 Effective emitter resistance as a function of the specific contact resistance. ($V_{BE}=0.9~V,~R_{SB}=7000~\Omega/square,~R_{SE}=10~\Omega/square,~d=10~\mu m)$

smaller ρ_C , the emitter currents of the transistors in the current crowding region have a strong tendency to flow through ΔR_{EV} instead of traveling further across the emitter region. If ρ_C is large, the emitter currents tend to spread to many parallel ΔR_{EV} branches to reduce the resistance from the interfacial layer. R_{EFF}/R_{EO} approaches unity at high ρ_C for $R_{SE}=5$ 9/square, but for $R_{SE}=10$ and 15 9/square it does not approach unity because of the high lateral resistance component from the emitter region. This will be discussed in the next paragraph. Figure 3.9 shows that R_{EFF}/R_{EO} increases with increasing emitter length d. This is because for long d we have both heavy emitter and contact crowding.

C) The components of the emitter resistance:

In Fig. 3.10, we illustrate the distribution of I_{EL} for three various values of V_{BE} . Since the emitter current flows vertically at low current, there is no horizontal potential difference in the emitter region. At low V_{BE} , I_{EL} is uniform and its value is negligible compared to the total emitter current. For example, $I_{EL}(\text{peak}) = 5.16 \times 10^{-8} \text{ A}$ and $I_{E} = 3.07 \times 10^{-5} \text{ A}$ for $V_{BE} = 0.75 \text{ V}$. Thus, the emitter resistance at low current is due primarily to the contact resistance. But, we cannot neglect the horizontal component of the emitter resistance due to the contact and emitter crowding at high currents. For $V_{BE} = 0.85 \text{ V}$ the peak value of I_{EL} is $4.77 \times 10^{-5} \text{ A}$ and $I_{E} = 9.73 \times 10^{-4} \text{ A}$. Then, the contribution of ΔR_{EL} is not negligible in calculating the emitter resistance according to (3.8), because the sum of $I_{EL}(i)$ is comparable to I_{E} . The two components of the emitter resistance are shown in Fig. 3.11. It is clear that the

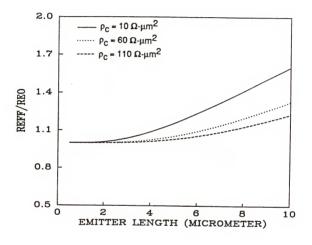


Fig. 3.9 Effective emitter resistance as a function of emitter length. ($V_{BE}=0.9~V_{r}~R_{SB}=7000~\Omega/square,~R_{SE}=10~\Omega/square,~R_{SE}=50~\Omega-\mu c$

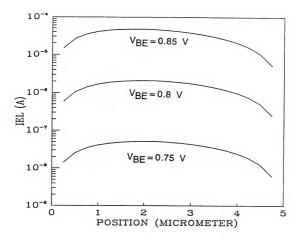


Fig. 3.10 Current distribution along ΔR_{EL} as a function of V_{BE} . (R_{SB} = 7000 Q/square, R_{SE} = 10 Q/square, ρ_{C} = 50 Q- μm^{2} , d = 10 $\mu m)$

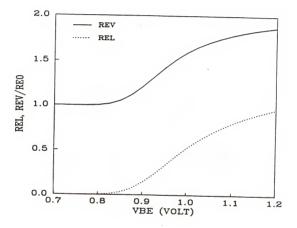


Fig. 3.11 Variation of the emitter resistance components as a function of $V_{BE}.$ The solid line is for the contact resistance. The dotted line is for the emitter bulk resistance. ($R_{SB}=7000~g/square,~R_{SE}=10~g/square,~\rho_c=50~g-\mu m^2,~d=10~\mu m)$

lateral resistance component ($\Delta R_{\rm EL}$) contributes to the emitter resistance at high V_{BE}. We can also see that the vertical component ($\Delta R_{\rm EV}$) increases as V_{BE} increases.

3.5.2 Experimental Results

By rearranging equation (2.6), we obtain

$$\frac{\Delta V}{I_E} = \frac{R_B}{1+\beta} + R_E. \tag{3.9}$$

The first term of the right hand side of (3.9) either decreases [15] or remains constant with increasing $V_{\mbox{\footnotesize{BE}}}$ if $R_{\mbox{\footnotesize{B}}}/\beta$ is current independent [47]. We compared two extreme cases of the emitter contacts represented by two different devices. The devices are a metalcontact transistor with the emitter size of 25 x 25 μm^2 and a polysilicon-contact transistor with the emitter size of 2 x 12 μm^2 . The reason for choosing these devices is that the metalcontact device can have a strong emitter and contact crowding due to long d and low ρ_{C} (ρ_{C} of the metalcontact device is smaller than that for the polysilicon device). On the other hand, the polysilicon-contact device has a weak emitter and contact crowding because of its short d and large ρ_c (ρ_c = 250 $\Omega-um^2$ for this device [27]). Figure 3.12 shows the experimental results. For easy comparison of the two devices, the y-axis displays $\Delta V/I_{\underline{F}}$ normalized to its peak value. $\Delta V/I_{\hbox{\scriptsize E}}$ for the metal-contact device continuously increases up to $V_{\hbox{\scriptsize RE}}$ = $1.0\,$ V, but the polysilicon-contact device has the opposite dependence. The $\Delta V/I_{\mbox{\scriptsize E}}$ increase for the metalcontact device is caused

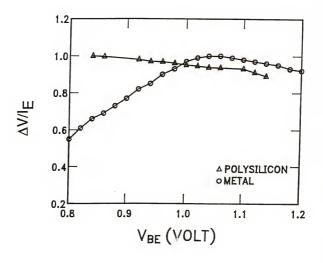


Fig. 3.12 Experimental results for two transistors with different contact materials and size. The emitter contact sizes are 2 x 12 μ m² and 25 x 25 μ m² for polysilicon and metal contacts, respectively. For easy comparison, the y-axis ($\Delta V/I_E = R_E + R_B/(1 + \beta)$) is normalized to the peak value for both devices.

by the increase of $R_{\rm E}$ due to the heavy emitter and contact crowding, which agrees with the simulation results in Section 3.5.1. The $\Delta V/I_{\rm E}$ decrease after $V_{\rm BE}=1.0$ V is the result of the base conductivity modulation by high injection phenomena. Computer simulation results in Section 3.5.1 pointed out that the transistor with low $R_{\rm SB}$ has only small small increase in $R_{\rm E}$. Thus, the metal-contact transistor has small $R_{\rm E}$ increase or constant $R_{\rm E}$ beyond $V_{\rm BE}=1.0$ V.

3.6 Conclusions

Through the computer simulation based on our new model, we have found that the emitter resistance increases as a function of current. The dependence of the emitter resistance on physical parameters was also investigated. The resistance consists of components from the contact hole and the bulk emitter region. The simulation results were checked by measuring devices with metal and polysilicon contacts. The experimental results show that the emitter resistance of the metal-contact transistor with relatively large emitter length increases at high current. This conclusion is important for the design of analog transistors and power transistors, which in most cases have long emitter with metalcontact.

CHAPTER FOUR MEASUREMENT OF THE COLLECTOR RESISTANCE

4.1 Introduction

The delay time of the Emitter Coupled Logic (ECL) consists of several time-constants, which are determined by the series resistances and capacitances of a transistor and its load resistance. The delay time can be expressed as a the sum of eight time-constants [1]. The resistance, which includes the collector series resistance ($R_{\rm C}$) and the actual load resistance, contributes to five out of eight time-constants. Thus, $R_{\rm C}$ plays an important role in limiting the speed of digital circuits. Another important aspect of $R_{\rm C}$ in the digital applications is that, together with the emitter resistance and the internal saturation voltage $V_{\rm CE}({\rm sat})$, it determines the value of the logical low state. The transition frequency ($f_{\rm T}$) of a transistor is used as a figure of merit in estimating the frequency response of a transistor in the analog application. The $f_{\rm T}$ is also a strong function of the $R_{\rm C}$, together with other parameters of a transistor.

Therefore, $R_{\rm C}$ is a crucial parameter in estimating the switching speed and frequency response of the bipolar transistor. It is also one of the most difficult parameters to measure due to its strong dependence on bias and its distributed nature.

The collector resistance of an integrated bipolar n-p-n transistor, shown in Fig. 4.1, consists of the vertical portion $R_{\rm CV}$

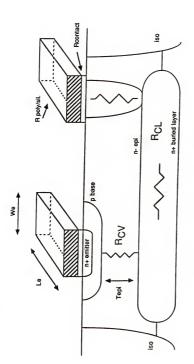


Fig. 4.1 Device cross-section showing the different components of RC.

p substrate

of the n-type epitaxial region between the edge of the base-collector space-charge region and the n^+ subcollector, the lateral portion of the n^+ subcollector, and another vertical portion of the n^+ plug between the subcollector and the collector contact. The contact resistance has to be also considered.

The heavily doped portions of $R_{\rm C}$ plus the contact resistance are current independent and vill be lumped together into one resistance $R_{\rm CL}$. The value of the vertical portion $R_{\rm CV}$ = $\rho_{\rm epi} t_{\rm epi}/A_{\rm BE}$, is a complicated function of current, voltage, and doping. At low currents, the thickness of quasi-neutral epitaxial collector $t_{\rm epi}$ depends on the base-collector junction voltage, that determines the junction space-charge region vidth. At high currents, the base-collector junction may become forward-biased resulting in a conductivity modulation of the epitaxial region that lowers $R_{\rm CV}$. The epitaxial layer vill be also modulated by base-pushout at high currents. To accurately model $R_{\rm C}$, we have to measure it as a function of current and biasing conditions. We present here two DC methods for measuring $R_{\rm CL}$ and $R_{\rm CV}$. The methods are demonstrated on advanced MOSAIC III self-aligned polysilicon transistors.

The existing methods for measuring the $R_{\rm C}$ will be reviewed in Section 4.2. The basic concept of our new method for measuring $R_{\rm C}$ will be discussed in Section 4.3. In Section 4.4, the detailed measurement procedure will be introduced and the results for various samples are shown and discussed.

4.2 Review of the Conventional Methods

A short critical review of the published methods to measure $R_{\mathbb{C}}$ is presented here. Detailed description is presented elsewhere [24].

The high-current value $R_C(\operatorname{sat})$ and the low-current value $R_C(\operatorname{normal})$ of R_C can be estimated from the I_C - V_{CE} characteristics of a transistor. $R_C(\operatorname{normal})$ can be estimated from the slope of a line drawn through the "knees" of the I_C - V_{CE} curves for different values of the base current I_B . It is very difficult, however, to determine the "knees" for each curve. Also, $R_C(\operatorname{normal})$ from this measurement cannot represent the values for the entire forward-active region, since $R_C(\operatorname{normal})$ depends strongly on the collector current and the collector-base reverse bias due to the space-charge region modulation in the lightly doped collector. To obtain $R_C(\operatorname{sat})$, we analyze the expression for $V_{CE}(\operatorname{sat})$ given by

$$V_{CE}(\text{sat}) = \frac{kT}{q} ln \left(\frac{1 + (I_C/I_B)(1 - \omega_R)}{\omega_R (1 - (I_C/\beta_F I_B))} \right) + I_E R_E + I_C R_C, \tag{4.1}$$

where α_R is the common-base reverse current gain, β_P is the common-emitter forward current gain, and the other parameters in (4.1) have their usual meanings. From (4.1), we can obtain R_E+R_C by plotting $V_{CE}(\text{sat})$ as a function of I_C , provided that the first term remains current independent. The effect of the first term of (4.1) can be reduced using the forced beta method. In this method, we measure the I_B-V_{CE} plots for the several values of I_C . We then find ΔV_{CE} as the difference between two points on two different curves for the same

ratio β = $I_C/I_B.$ If we further assume that α_R and β_F are independent of $I_C,$ and that R_E << $R_C,$ then from (4.1) R_C \simeq $\Delta V_{CE}/\Delta I_C.$

A method which uses the parasitic p-n-p transistor of the integrated n-p-n transistor to measure R_C was proposed [48]. At high currents, the collector current induces forward bias at the collector-base junction of the n-p-n transistor due to the voltage drop on the collector series resistance. The forward-biased collector-base junction of the n-p-n transistor behaves as the emitter of the p-n-p transistor. The holes injected into the epi that cross the subcollector are collected by the substrate and constitute a substrate current, \mathbf{I}_S .

Since the base of the p-n-p is distributed across $R_{\rm CV}$ (Fig. 4.1), the question is where to attach the base terminal for the purpose of calculating the base-collector potential that controls Ig. Based on numerically calculated field profile in the epi, the base terminal of the p-n-p transistor was positioned at the episubcollector interface in the reference [48]. As will be shown later, the creation of the base terminal like this causes an error in determining of $R_{\rm C}$ from $I_{\rm S}$. We also show that $I_{\rm S}$ is controlled directly by the physical base-collector junction of the n-p-n transistor.

For accurate modeling of a bipolar transistor, the two components of $R_{\rm C}$ ($R_{\rm CV}$ and $R_{\rm CV}$) have to be separated and their bias dependence has to be determined. Neither of the above methods can do that. Our new method clearly separates the two components, and also yields their bias dependence. In addition, a new procedure for

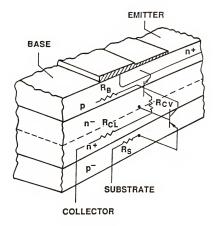
separating $R_{\mbox{\footnotesize E}}$ from $R_{\mbox{\footnotesize C}}$ using the forced beta method will be presented.

4.3 Physical Model

A careful investigation of the cross-section of the integrated n-p-n transistor reveals the presence of a parasitic p-n-p transistor, as shown in Figs. 4.2 (a) and 4.3 (a). In these figures, R_{CL} represents the resistance from the collector contact to the collector-substrate junction, and R_{CV} is resistance of the quasineutral epitaxial layer. The parasitic p-n-p transistor can be turned on by the voltage drop on the collector resistance of the n-p-n transistor. The emitter of the p-n-p transistor can be either the base or the substrate of the n-p-n transistor, depending on bias conditions. We define the upward operation of the p-n-p transistor; when the substrate-collector junction of the n-p-n transistor is forward biased, and the downward operation when the base-collector junction of the n-p-n transistor is forward biased.

4.3.1 Upward Operation of the p-n-p Transistor

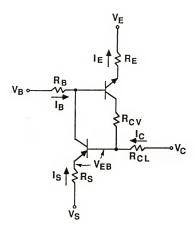
If the reverse bias at the collector-base junction is larger than the voltage drop on the $R_{\rm CL}$ and $R_{\rm CV}$, the collector-base junction cannot turn on. Under this condition, however, the substrate-collector junction can turn on due to the voltage drop on $R_{\rm CL}$. The substrate operates then as the emitter of the p-n-p transistor. Since the carrier injection into the base of the p-n-p p-n-p transistor occurs at the substrate-collector junction in this



(a)

Fig. 4.2 Illustration of upward operation of the parasitic p-n-p transistor.

- (a) Device cross-section for upward operation of the parasitic p-n-p transistor. R_{CV} is the resistance of the n epi-layer, R_{CV} is the resistance of the n⁺ subcollector and plug.
- (b) Equivalent circuit for upward operation of the parasitic p-n-p transistor.



(b)

Fig. 4.2 (continued)

operation, the emitter current of the p-n-p transistor is controlled by the effective emitter-base junction voltage (V_{EB}) of the p-n-p transistor determined by the substrate and collector terminal voltage minus the voltage drop on R_{CL} (see Fig. 4.2 (a)).

For detailed analysis, we show the equivalent circuit for the upward operation of the p-n-p transistor in Fig. 4.2 (b). We assume that the $R_{\rm CL}$ is independent on the current levels, because the buried layer is usually heavily-doped. The voltage drop at the $R_{\rm S}$ is negligible in comparison with other components if the $R_{\rm CL}$ is obtained at the relatively low substrate current, for example, $I_{\rm S} \le 1$ µA. Then, $V_{\rm EB}$ of p-n-p for two different substrate voltage ($V_{\rm S}$), is expressed as

$$V_{EB1} = V_{S1} - I_{S1}R_{S} + I_{C1}R_{CL} - V_{C}$$
 (4.2)

$$V_{EB2} = V_{S2} - I_{S2}R_{S} + I_{C2}R_{CL} - V_{C}.$$
 (4.3)

For the same I_S , V_{BB} will be the same. Thus, equaling (4.2) and (4.3) gives

$$R_{CL} = \frac{V_{S2} - V_{S1}}{I_{C1} - I_{C2}}.$$
 (4.4)

The $R_{\rm CL}$ component of $R_{\rm C}$ is found uniquely from (4.4). This is a great advantage in comparison with other methods where different components of the series resistances are always coupled together.

4.3.2 Downward Operation of the p-n-p Transistor

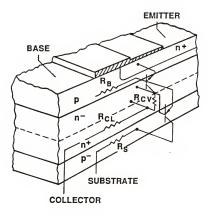
For the downward operation of the p-n-p transistor, the base-collector junction is forward-biased, while the substrate-collector junction is reverse-biased. Then, the potential right below the collector-base junction controls the emitter current of the p-n-p transistor, because the injection occurs at this junction. Thus, the base, collector, and substrate of the n-p-n transistor will behave as the emitter, base, and collector of the p-n-p transistor, as shown in Figs. 4.3 (a) and 4.3 (b). The holes injected from the p+base transverse through the epitaxial layer and the subcollector and constitute the collector current of the p-n-p transistor. This current is also the substrate current of the n-p-n transistor (see Fig. 4.3 (b)). The collector current of the p-n-p transistor is controlled by the potential $V_{\rm EB}$ at top p-n junction determined by the terminal voltages $V_{\rm B}$ and $V_{\rm C}$ minus the voltage drop on both $R_{\rm CL}$ and $R_{\rm CV}$:

$$V_{EB1} = V_B - R_{B1}I_{B1} + R_{C1}I_{C1} - V_{C1}$$
 (4.5)

$$V_{EB2} = V_B - R_{B2}I_{B2} + R_{C2}I_{C2} - V_{C2},$$
 (4.6)

where the subscripts 1 and 2 correspond to V_{C1} and V_{C2} , respectively. The collector current of a transistor remains fixed when V_{EB} and V_{CB} are fixed. Thus, for the same I_S , V_{EB} in (4.5) and (4.6) will be the same:

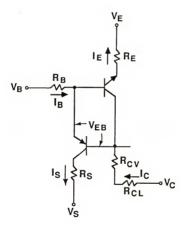
$$R_{C} = R_{CV} + R_{CL} = \frac{V_{C1} - V_{C2}}{I_{C1} - I_{C2}} = \frac{\Delta V_{C}}{\Delta I_{C}}$$
 (4.7)



(a)

Fig. 4.3 Illustration of the downward operation of the parasitic p-n-p transistor.

- (a) Cross-section for downward operation of the parasitic p-n-p transistor.
- (b) Equivalent circuit for the downward operation of the parasitic p-n-p transistor.



(b)

Fig. 4.3 (continued)

Two assumptions were used in (4.7). The first assumption is that the voltage drop on the base resistance for two different values of V_C is almost the same. This assumption can be verified experimentally. For example, the voltage difference $(I_{B1}R_{B1}-I_{B2}R_{B2})$ for the transistor with the emitter size of 2 x 12 μm^2 is only about 2 mV for ΔV_C = 30 mV. The second assumption is that R_C is constant for small variation of V_C ($\Delta V_C \leq 50$ mV). The small variation of V_C may modulate the base width of the p-n-p transistor, but this modulation is negligible for small ΔV_C .

In one previous work [48],the potential controlling I_S was chosen to be at the epi-subcollector junction. This corresponds to replacing R_C in (4.5) and (4.6) by R_{CL} . This neglects the voltage drop on R_{CV} . We show in the next section, that the value obtained for the downward operation mode includes R_{CV} .

4.4 Experimental Results

4.4.1 Measurement of the Collector Resistance

The measurements were performed on advanced self-aligned polysilicon-contact transistors. Figure 4.4 shows the current-voltage characteristics for transistor with the emitter mask size of 1.75 x 4.75 μm^2 . Figure 4.5 shows the I_C and I_S versus V_{BE} curves for this transistor. The measurements were done for fixed $V_B=0$ V and $V_C=1$ V, while V_S was stepped by $\Delta V_S=30$ mV from $V_S=1$ V. The polarity of I_S is positive (I_S flows into the substrate), which confirms that the bottom p-n junction is forward-biased. Using the I_S - V_{BE} curves, we find, for the same value of I_S , ΔI_C

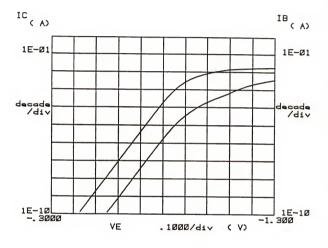


Fig. 4.4 Gummel plots for the transistor with the emitter mask size $A_{\rm BE}=$ 1.75 x 4.75 $\mu m^2.$ (VBC = 0 V)

corresponding to ΔV_{S} shown in Fig. 4.5. Then, R_{CL} = $\Delta V_{S}/\Delta I_{C}$ from (4.4). Figure 4.6 shows the measured values for $R_{\rm CL}$ as a function of $V_{\mbox{\scriptsize RR}}$ for three transistors with the emitter mask sizes of 1.75 x 4.75 $\mu m^2,~1.75~x~8~\mu m^2,~and~3~x~8~\mu m^2,~respectively. As expected, <math display="inline">R_{\rm CL}$ is almost constant in the range from $V_{\mbox{\footnotesize{BE}}}$ = 0.9 V to 1.1 V. We note, that $R_{\rm CL}$ for 1.75 x 8 μm^2 is larger than that for 3 x 8 μm^2 . This can be explained in the following way. The collector current flows laterally through the buried layer in the extrinsic region of the transistor. But, the current flows mostly vertically in the buried layer under the intrinsic transistor area. The transistor with the larger emitter size will have the smaller contribution to $R_{\hbox{\scriptsize CL}}$ from the intrinsic buried layer in comparison with the smaller emitter transistor, because the resistance is inversely proportional to the area perpendicular to the current flow. Thus, even if the spacing between the the collector contact and the intrinsic region of the transistors is the same, they can have different $R_{\mbox{\scriptsize CL}}$ due to the reason mentioned above.

The measured curves for the downward operation of the p-n-p transistor are shown in Fig. 4.7. The collector-substrate junction is reverse-biased with $V_S=-1$ V, and $V_B=0$ V. We measure I_C and I_S as a function of V_{BE} for two different values of $V_C=-0.285$ V and -0.315 V. Because I_C is a strong function of the base width, the base-width modulation for ΔV_C should be considered. The base of the parasitic p-n-p consists of the epitaxial layer and the buried layer with total base-width of about 0.6 μm . Thus, the base-width modulation for small $\Delta V_C=30$ mV is negligible. This fact is

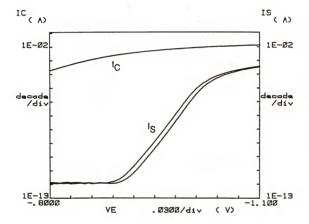


Fig. 4.5 I_C and I_S as a function of V_{BE} for transistor with A_{BE} = 1.75 x 4.75 μ m². The bottom I_S is for V_S = 1 V, the upper I_S curve is for V_S = 1.03 V.

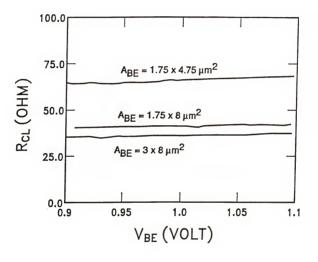


Fig. 4.6 Lateral collector series resistances for three different transistors as a function of V_{BE} . A_{BE} represents the emitter mask size.

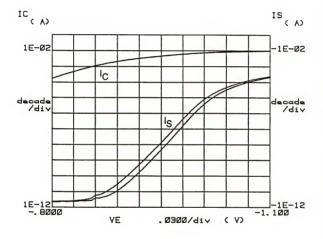


Fig. 4.7 I_C and I_S for the transistor with $\rm A_{BE}=1.75\times4.75~\mu m^2$ versus $\rm V_{BE}$. Measurement conditions are $\rm V_B=0~V,~V_S=-1~V,~V_C=-0.285~V$ for the top $\rm I_S$ curve, and $\rm V_C=-0.315~V$ for bottom $\rm I_S$ curve.

confirmed by the fact that the I_C - V_{BE} curves in Fig. 4.7 for both values of V_C are nearly identical. The total collector resistance R_C = R_{CV} + R_{CL} is obtained from Fig. 4.7 in a similar way as for the previous case of the upward operation by measuring ΔI_C corresponding to ΔV_C , and then using (4.7).

To investigate the R_C dependence for $V_{BE} \le 0.9$ V, the current offset (pprox 1 pA) can be subtracted from the I_S values measured for higher biases. It is not easy to measure I_S in the pA range due to the leakage current between the leads of the package. Cleaning the package and the plug-in of the shielded box of the HP4145 analyzer with alcohol dramatically reduces the leakage currents. To obtain the flat offset currents of I_S, the hold-time of HP4145 was set to 20 seconds.

The measured dependencies of R_C on V_{BE} and I_C for two transistors are shown in Figs. 4.8 (a) and (b) and in Fig. 4.9. At high currents, R_C saturates at $R_C = R_{CL}$, which agrees very well with the R_{CL} dependence found in Fig. 4.6. For smaller currents, R_C sharply increases because of the contribution from the epitaxial layer of R_{CV} . The very sharp transition from the low-current value to the high-current value is caused by the conductivity modulation of the epitaxial layer.

To investigate the dependence of R_{C} on bias in more physical detail, we performed numerical simulations using MEDUSA simulator. Figs. 4.10 (a) and (b) show the simulated dependence of R_{C} on V_{BE} and I_{C} for V_{C} = - 0.3 V for a transistor with 1.75 x 4.75 μm^{2} emitter size. The doping profiles and geometry for the simulated

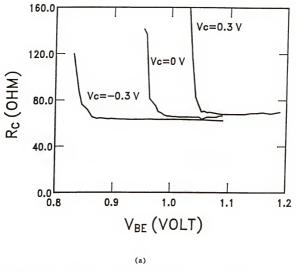
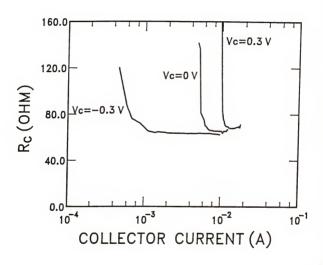


Fig. 4.8 Total collector resistances versus (a) V_{BE} and (b) I_C for three different values of $V_C.~A_{BE}$ = 1.75 x 4.75 μm^2 , V_S = -1 V.



(b)

Fig. 4.8 (continued)

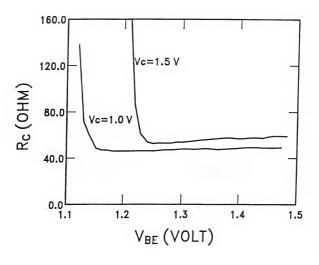


Fig. 4.9 Total collector resistance versus V_{BE} for two different values of $V_C.~A_{BE}$ = 1.75 x 8 $\mu m^2,~V_S$ = -1 V, 1.5 V.

transistor are similar to that measured in Fig. 4.8. The qualitative agreement between Figs. 4.8 and 4.10 is excellent.

 $R_{\rm C}$ in Fig. 4.10 is calculated from the quasi-Fermi potential difference between the collector contact and the edge of the base-collector space-charge region. The boundary between the quasi-neutral collector and the base-collector space-charge region is rather easy to determine at small and moderate biases from the electric field profile. This gets more difficult at biases where the quasi-neutral base pushes out into the epi region and the electron concentration is comparable or larger than the collector donor concentration. It is easier to to approximate the boundary by using the difference between the electron and doping concentrations and identify the boundary at a position at which $n=N_{\rm D}$. This criterion was used to calculate $R_{\rm C}$ displayed in Fig. 4.10.

Figure 4.11 shows the detailed simulated dependencies of the excess electron concentration, electric field, and R_{C} on the distance from the emitter surface for V_{BE} = 0.87 V (I_{C} = 0.82 mA). R_{C} is measured from the collector contact. Also shown is the log n - log N_{D} curve. Note that n = N_{D} for x \approx 0.52 µm where R_{C} \approx 203 Ω . For small currents, R_{C} is almost constant and equal to R_{CV} . At V_{BE} \approx 0.87 V, the injected electron concentration approaches the doping in the epi layer (see Fig. 4.11). As a result, R_{CV} (and R_{C}) rapidly drops, as shown in Fig. 4.10 (a). Simulation shows that for V_{BE} \geq 0.89 V, n > N_{D} , and, hence, R_{C} drops to a low value of R_{C} \approx R_{CL} .

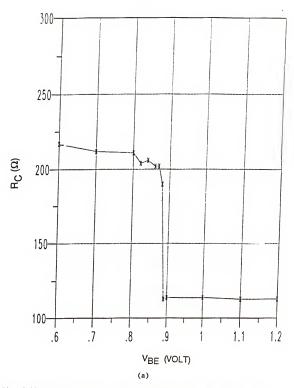


Fig. 4.10 Simulated total collector resistance from MEDUSA versus (a) V_{BE} and (b) I_C for the transistor with A_{BE} = 1.75 x 4.75 μm^2 .

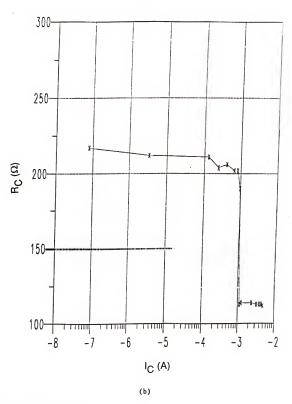


Fig. 4.10 (continue)

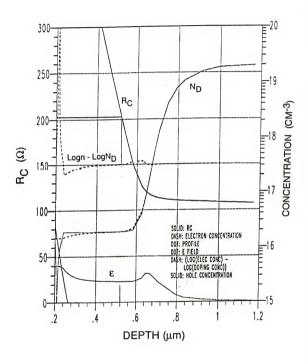


Fig. 4.11 Simulation results from MEDUSA for V_{BE} = 0.87 V, V_{BC} = -0.3 V, A_{BE} = 1.75 x 4.75 μm^2 .

The sharp transition between the low-current and high-current values of $R_{\rm C}$ shown in Figs. 4.8 and 4.9 strongly depends on the external collector bias $V_{\rm C}$. The internal base-collector potential is given by the external voltages $V_{\rm C}$ and $V_{\rm B}$ minus the voltage drop on the collector resistance. As $V_{\rm C}$ in Fig. 4.8 (a) changes from, for example, 0 V to + 0.3 V, the base-collector junction becomes more reverse-biased. Thus, it takes larger $I_{\rm C}$ to induces enough voltage drop $R_{\rm C}I_{\rm C}$ to forward bias the junction. The critical collector current for the onset of the base push-out also increases with the increasing base-collector reverse bias in ohmic saturation and quasi saturation [11-12]. The transition between the low- and high- $R_{\rm C}$ is, thus, moved to higher currents as $V_{\rm C}$ increases (Fig. 4.8(b)). Since the collector resistance after the onset of the base push-out is a strong function of the high injection, it is not easy to clearly explain the values for the plateau in Fig. 4.8.

4.4.2 Measurement of the Emitter Resistance

As discussed in Section 4.2, the slope of the I_C - V_{CE} curve in saturation is proportional to the sum R_{CL} + R_E . As the device size gets smaller, R_E becomes larger because R_E is inversely proportional to the emitter area, also becomes smaller due to the shorter distance from the collector contact to the intrinsic collector-base junction. Nevertheless, R_E may become comparable to R_{CL} . A very accurate value for R_{CL} can be obtained from I_S of the upward operation mode of the p-n-p transistor, as was described above.

Below we describe a new method for extraction of $R_{\hbox{\scriptsize E}}$ based on measurement of $R_{\hbox{\scriptsize CL}}$ combined with the foced beta method.

Before describing the detailed procedure, we point out the problem encountered during the measurement of $R_C(\text{sat}) + (1+1/\beta)R_E$ by taking the slope of the I_C - V_{CE} plot. We found that the slope varies in a wide range. For example, for the transistor with the emitter size of 1.75 x 4.75 μm^2 the slope varies in between 68 Ω and 81 Ω , depending on the points at which the slopes are taken. The reason for the various slopes is that the internal saturation voltage $V_{CE}(\text{sat})$ is not negligible in comparison with $R_C(\text{sat}) + (1+1/\beta)R_E$.

Thus, we chose the forced beta method that overcomes the problem with the variable slope. For I_{C}/I_{B} fixed, we derive from (4.1)

$$\frac{\Delta V_{CE}}{\Delta I_{C}} = R_{C}(sat) + (1 + \frac{\Delta I_{B}}{\Delta I_{C}})R_{E}. \tag{4.11}$$

The sum of the collector and emitter resistances can be obtained accurately from (4.11). This method is usually used to measure $R_C(\text{sat})$ by either neglecting R_E or estimating R_E by the open-collector method [24]. It was shown [27], however, that the open-collector method is not reliable and grossly overestimates R_E . We can use (4.11) to extract R_E , provided that $R_C(\text{sat})$ can be measured independently and accurately. Under the heavily saturated operating conditions $R_C(\text{sat}) \approx R_{CL}$, which can be independently measured from the upward mode of the p-n-p transistor.



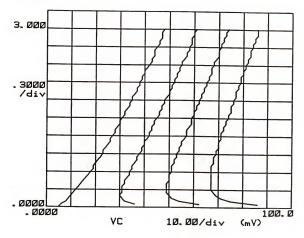


Fig. 4.12 I_B versus V_C with I_{C_2} as a parameter for transistor with A_{BE} = 1.75 x 4.75 μm^2 . The collector currents are from left to right: 0 mA, 0.2 mA, 0.4 mA, and 0.6 mA.

In Fig. 4.12, we illustrate a typical I_B -V_{CE}(sat) plot for the transistor with the emitter mask size of 1.75 x 4.75 μ m². We investigated the variation of Δ V_{CE}/ Δ I_C as function of current. The results are summarized in Table 4.1 calculated from (4.11) and using the R_{CL} data from Fig. 4.6 together with the values for R_E. The variation of R_E is within 10 %. Although R_{CL} is about six times larger than R_E, the two terms in (4.11) are about the same since Δ I_B/ Δ I_C = 4-6 (see Table 4.11). The accuracy of the extracted value for R_E depends on the accuracy of R_{CL}. The new method presented here is sufficiently accurate for this purpose. The values for R_E for three transistors are summarized in Table 4.2. By subtracting 0.4 μ m wide sidevall from the emitter mask size, we obtain the effective emitter area. From the effective emitter area and the values for R_E from Table 4.2, we obtain the average value for the specific contact resistivity of ρ _C = 50 Q- μ m².

4.5 Conclusions

New DC methods to measure the collector resistance $R_{\rm C}$ and emitter resistance $R_{\rm E}$ of integrated-circuit bipolar transistors are is presented. The method for $R_{\rm C}$ is based on monitoring the substrate current of the parasitic p-n-p transistor linked with the n-p-n intrinsic transistor. The p-n-p transistor is operated with either the bottom or top p-n junction forward-biased. This allows to separate the two components of $R_{\rm C}$. $R_{\rm E}$ is obtained from the measured lateral portion of $R_{\rm C}$ and collector-emitter saturation voltage.

Table 4.1 Dependence of R_E on I_C for the transistor with the emitter mask size of 1.75 \times 4.75 μm^2 , R_E was obtained from (4.8) using R_{CL} = 64 Ω from Fig. 4.6.

I _C	I _B	V _{CE}	ΔV _{CE} /ΔI _C	R _E (Ω)
0.2	0.9	38		
0.3	1.35	51	130	12
0.2	0.8	36		
0.4	1.6	60	120	11.2
0.2	1	38		
0.4	2	64	130	11
0.2	1.2	41		
0.4	2.4	68	135	10.14

Table 4.2 Summary of $\boldsymbol{R}_{\boldsymbol{E}}$ for three emitter mask sizes.

^A BE (μm ²)	R _{CL}	R _E
1.75 x 4.75	64	11 ~ 12
1.75 x 8	41	7 ~ 7.58
3 x 8	37	4 ~ 4.5

Examples of measurements on advanced self-aligned transistors with polysilicon contacts are shown and compared with numerical simulations. The results show very strong dependence of $R_{\rm C}$ on the base-emitter and base-collector voltages of the n-p-n transistor. The bias dependence of $R_{\rm C}$ is due to the conductivity modulation of the epitaxial collector. From the measured $R_{\rm E}$ we obtain a value for the specific contact resistance for the polysilicon emitter contact of $\rho_{\rm C} \approx 50$ Q-um 2 .

CHAPTER FIVE MEASUREMENTS OF THE AUGER COEFFICIENTS

5.1 Introduction

There are large discrepancies of the Ambipolar Auger coefficients C_A = C_n + C_P from one investigator to another. The values from Dziewior and Schmid [49] and Svantesson and Nilsson [50] for the plasma density of above 1 x 10^{18} cm⁻³ (3.8 x 10^{-31} - 3.4 x $10^{-31}~{
m cm^6s^{-1}})$ are almost same. Dziewior and Schmid extracted the carrier lifetime from the decay time of the band-to-band recombination radiation after pulsed excitation by a mode-locked cavity-dumped Ar+-ion laser. Svantesson and Nilsson used almost the same method except for measuring the recombination radiation in a direction perpendicular to the laser beam (YAG:Nd). The absorption of infrared probe radiation by nonequilibrium carriers, excited with light from a Neodymium laser, was used to measure $C_{\mbox{A}}$ [51]. The value for C_A from this experiment is 1.5 x $10^{-30}~{\rm cm}^6{\rm s}^{-1}$ for the plasma density above 5 x 10^{17} cm $^{-3}$. The $\mathrm{C_A}$ from the photo-conductivity and the dynamic grating experiment is 1.1 x $10^{-30}~{\rm cm}^6{\rm s}^{-1}$ for the plasma density below 1 x $10^{18}~{
m cm}^{-3}$ [52]. In the experiments based on measuring the lifetime, the surface recombination of the sample is always a problem.

Two approaches to solve this problem, by a chemical preparation method [53] or by growing good quality oxide layer on the surface of the device [54] were reported. A strobe lamp excites carriers in a semiconductor vafer immersed in hydrofluoric acid, and their density decay is then monitored by an inductively coupled rf bridge [53]. The value from this measurement is 2 x 10^{-30} cm⁶s⁻¹ for the plasma density in the range of 3 x 10^{15} to 10^{17} cm⁻³. By monitoring the open-circuit voltage of a highly injected solar cell under steady-state and transient conditions, the C_A was extracted [54]. The value reported from this experiment is 1.66×10^{-30} cm⁶s⁻¹ for the plasma densities in the range of 1 x 10^{16} to 1.2×10^{17} cm⁻³.

In this chapter, we present measurements of Auger lifetime in the carrier density range from 10^{17} to 5 x 10^{17} cm⁻³. Our experimental method is fundamentally different from other methods [49-54], since we measure the electroluminescence (EL) as a function of the current through a $n^+/p^-/p^+$ diode. To extract the Auger recombination coefficient, the experimental results are compared with numerical calculations that self-consistently solve the transport equations across the p- base of the diode. The connection between experimental and numerical results is made possible by normalizing the electroluminescence signal at high currents with respect to the same signal under low injection. For carrier plasma densities between 10^{16} and 10^{17} cm $^{-3}$, recombination in the n $^{+}$ and p $^{+}$ dominates the current and the relation electroluminescence and current is linear. In this density range, fitting experimental results with numerical calculations reveals the saturation current density of the heavily doped regions. For plasma densities between 10^{17} and 5 x 10^{17} cm $^{-3}$, Auger recombination reduces the base ambipolar lifetime well below its Shockley-ReadHall value. The base recombination becomes comparable to the recombination in the heavily doped regions and the electroluminescence intensity starts increasing sublinearly with the current. The Auger coefficient is extracted as a fitting parameter in the numerical solutions that simulate the experimental sublinearity.

The section that follows presents the experimental method, results and the extraction of parameters based on numerical solutions. In section Section 5.3, we discuss the sensitivity and accuracy of the method and provide experimental evidence for certain assumptions used in the interpretation of the experimental results. In the conclusion section, the main points of the paper are summarized.

5.2 Experimental Results and Numerical Interpretation

The device under study has the n*/p-/p* structure cut from a high efficient solar cell. The p- layer has the doping concentration N_A of 1.2 x 10^{15} cm-3 and the width W of 100 µm. The device is mounted on T0-5 package and bonded with 16 bonding wires for each electrode. The bonded device was attached to the heat radiator for the possible heat-up of the device during the high injection period. The device temperature was monitored through the thermocouple mounted on the heat radiator. We applied the forward bias to the diode in the pulse mode to prevent it from heating up, as shown in Fig. 5.1. The repetition rates of the pulse span in the 0.3 Hz - 100 Hz range depending on the current levels. The pulse with the 10^{-5}

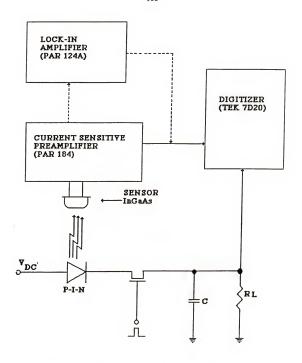


Fig. 5.1 Schematic illustration of the experimental setup for measurement of the electroluminescence versus current for p-i-n diode. MOS switch is IRF510. R_L is 0.2 Ω or 52 Ω depending on the current levels.

duty cycle of 3 sec period was used especially at the high current density (J \geq 1.5 x 10³ A/cm²). The electroluminescence signal was detected by InGaAs photodetector. The detected signal was amplified by the current sensitive amplifier (PAR 184) for large signals or amplified again by the lock-in amplifier (PAR 124A) for the weak signal from low currents (see the dashed sequence in Fig. 5.1).

Figure 5.2 shows how the experimental electroluminescence signal, EL as a function of the terminal current, I. The plot has three distinct regions. In region 1, EL is a superlinear function of I, while EL depends linearly on I in region 2. In region 3, the electroluminescence signal is a sublinear function of I and, as discussed later, it is this region that is the most sensitive to the ambipolar Auger recombination in the base. To understand how EL depends on I, we can introduce an approximate model about the carrier transport in the base and assume that the carrier plasma density is uniform throughout the quasi-neutral base. Accurate numerical results that allow a more precise parameter extraction will be presented later in Section 5.2.2.

5.2.1 Approximate Analytical Model

In this approximate model, let n be the uniform electron-hole density in the base that corresponds to the terminal current I. The density of electrons and holes can be assumed to be equal for carrier injection levels above $10^{16}~{\rm cm}^{-3}$. Let $J_{\rm OD}$ and $J_{\rm OD}$ be the saturation current densities of the n* and p* regions, respectively, let $\tau_{\rm SRH}$ be the Shockley-Read-Hall (SRH) ambipolar base lifetime and

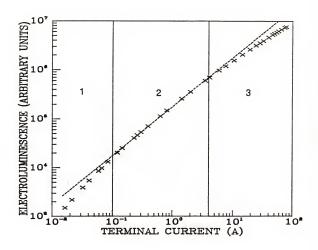


Fig. 5.2 Measured electroluminescence versus current through the p-i-n diode.

 $C_{\rm A}$ be the ambipolar Auger coefficient in the base. For carrier densities high enough so that we can neglect the recombination in the space charge region of the base emitter junction as well as recombination at the lateral edge, the current as a function of n takes the form

$$I = A(J_{on} + J_{op})n^2/n_i^2 + eAWn/\tau_{SRH} + eAWC_An^3,$$
 (5.1)

where A is the area of the device, V is width of the p^- base, and $J_{\rm on}$ and $J_{\rm op}$ are recombination currents in heavily doped n^+ and p^+ , respectively.

To be able to judge the relative weight of the three terms in (5.1), we can use values for the recombination parameters that are usually adopted in the literature. The sum $J_{\rm on}$ + $J_{\rm op}$ is of the order of 10^{-12} A/cm², the high injection SRH lifetime is usually more than 100 μs in solar cell grade material, and the reported values of $C_{\rm A}$ are in the range from 0.4 x 10^{-30} to 2 x 10^{-30} cm $^6s^{-1}$ [49-54]. Therefore, in (5.1) the fist term dominates when n varies between 10^{16} and 10^{17} cm $^{-3}$. Thus, in this range

$$I = A(J_{on} + J_{op})n^2/n_1^2.$$
 (5.2)

At the same time, the electroluminescence signal is proportional to the integrated pn product in the base. If F is a proportionality constant then

$$EL = Fn^2. (5.3)$$

From (5.2) and (5.3)

$$EL = F \frac{n_1^2 I}{(J_{on} + J_{op})A}$$
 (5.4)

and, therefore, in the range from 10^{16} to 10^{17} cm⁻³ EL is a linear function of I. This range roughly corresponds to region 2 in Fig. 5.2.

For densities above $10^{17}~{\rm cm}^{-3},$ the Auger term in (5.1) becomes important and now

$$I = A(J_{on} + J_{op})n^2/n_1^2 + eAWC_An^3$$
 (5.5 a)

$$I = A(J_{on} + J_{op})EL/(Fn_1^2) + eAWC_A(EL/F)^{3/2}.$$
 (5.5 b)

Equation (5.5 b) implies that for carrier densities above 10^{17} cm⁻³ the electroluminescence increases sublinearly with I and this correspond to region 3 in Fig. 5.2. Region 1 corresponds to carrier densities below 10^{16} cm⁻³, where (5.1) becomes

$$I=A(J_{on} + J_{op})n^2/n_i^2 + eAWn/\tau_{SRH}$$
 (5.6 a)

$$I=A(J_{on} + J_{op})EL/(Fn_1^2) + eAV(EL/F)^{1/2}/\tau_{SRH}$$
 (5.6 b)

which implies a superlinear dependence of EL on I. To this superlinear dependence also contributes the recombination current in the space charge region of the emitter junction as well as the recombination current at the lateral surface of the diode. This is the case since these currents depend on n according to n^{α} , where α is in the vicinity of 1.

The above arguments would be more convincing if we could independently calculate the average carrier density as a function of the current and verify that the demarcation levels between regions 1, 2, and 3 correspond to carrier densities in the vicinity of 10^{16} and 10^{17} cm⁻³. Here, by average carrier density we mean the rms value of the pn product in the base. This carrier density, n, can be calculated from (5.3) provided that EL can be measured at a bias level where n is known. Low injection can serve as such a bias level since, under the uniform carrier density approximation,

$$EL_0 = Fn_o^2 = Fn_i^2 \exp(eV_o/kT), \qquad (5.7)$$

where V_0 is the terminal voltage. In low injection the current is low enough to eliminate any series resistance voltage drop, so that (5.7) holds. In Fig. 5.3, we plot EL_0 as a function of the terminal voltage in low injection. It can be seen that EL_0 changes according to (5.7) and, thus, series resistances effects are negligible. By combining (5.3) and (5.7) we obtain

$$n^2 = (EL/EL_0)n_1^2 \exp(eV_0/kT).$$
 (5.8)

Therefore, by measuring EL at an injection level low enough so that (5.7) applies, we can calculate F. Knowledge of F allows calibrating the measurements at high current levels where, due to series resistance and high injection effects, the terminal voltage is not a useful parameter. From Fig. 5.2 and 5.3 and (5.8) the boundary between regions 1 and 2 in Fig. 5.2, corresponds to n = 1.6 \times 10¹⁶ cm⁻³ while the boundary between regions 2 and 3 corresponds

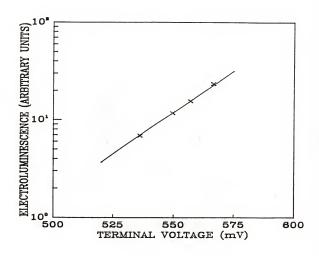


Fig. 5.3 Measured electroluminescence versus terminal voltage of the p-i-n diode at low current.

to n = 9.8 x 10^{16} cm⁻³ in agreement with the previous calculations based on generally accepted recombination parameters. Equation (5.8) can, in addition to n, provide an approximate calculation for the sum $J_{\rm OD}$ + $J_{\rm OD}$. From (5.2) and (5.8)

$$J_{on} + J_{op} = I/[A(EL/EL_0)exp(eV_0/kT)]$$
 (5.9)

where EL and I belong to region 2. From (5.9) and Fig. 5.2 and Fig. 5.3, $J_{\rm on}$ + $J_{\rm op}$ \approx 8.7 x 10⁻¹³ A/cm², in agreement with the original assumption about the sum $J_{\rm on}$ + $J_{\rm op}$.

From the above discussion, and since $J_{\rm on}$ + $J_{\rm op}$ is known, one might be attempted to calculate $C_{\rm A}$ from (5.5) and (5.8). However, as we point out later, for injection levels above 10^{17} cm⁻³ the carrier density is not uniform and the above approximations can lead to large errors. In order to derive more accurate values for $J_{\rm on}$, $J_{\rm op}$, and $C_{\rm A}$, we will simulate the experimental results using numerical solutions for the carrier density across the quasi neutral base. The simulation will be restricted to the regions 2 and 3 because these regions, as explained above, are the most sensitive to $J_{\rm on}$, $J_{\rm op}$ and $C_{\rm A}$, and they are much more immune to parasitic currents than the region 1.

5.2.2 Numerical Analysis

To accurately simulate the experimental results, the transport equations were numerically and self-consistently solved from the n^+/p^- to the p^-/p^+ edge of the quasi neutral base. The transport equations are

$$J_{n}(x) = -q\mu_{n}n(x) \cdot \frac{d\phi_{n}}{dx} \tag{5.10 a}$$

$$J_p(x) = q\mu_p p(x) \frac{d\phi_p}{dx}$$
 (5.10 b)

$$p(x) = N_A + n(x)$$
 (5.10 c)

$$p(x)n(x) = n_1^2 exp[q(\phi_p - \phi_n)/kT]$$
 (5.10 d)

$$J_n(W) = \frac{n(W)p(W)}{n_1^2} J_{op}$$
 (5.10 e)

$$J_{p}(0) = \frac{n(0)p(0)}{n_{1}^{2}} J_{on}.$$
 (5.10 f)

The solution of these equation provides n(x) and I. Since n is now a function of x equation (5.3) becomes

$$EL = \frac{F}{V} \int_{0}^{V} n^{2}(x) dx. \qquad (5.11 a)$$

As we prove in appendix C, to include the free carrier absorption we must multiply the expression in (5.11 a) by following factor.

$$MF = 1 - \sigma \int_0^W n(x) dx.$$

$$\left(\ln \left(\frac{1}{\sigma \int_{0}^{V} n(x) dx} \right) + y - \ln(y) - \int_{0}^{y} \exp(-1/x) dx \right)$$
(5.11 b)

where σ is the absorption cross section of the electron hole plasma and y is arbitrary number much lager than 10. The free-carrier absorption factor is written here in terms of n, but this is of no consequence since it becomes important only if the carrier density is above 10^{17} cm⁻³ where n(x) and p(x) are essentially the same. Thus (5.11 a) becomes

EL =
$$(F/V)$$
 $\left[1 - MF\right] \int_{0}^{V} n^{2}(x) dx$. (5.11 c)

As in the previous subsection, the proportionality constant F is calculated from the voltage dependence of EL in low injection, which is shown in Fig. 5.3. Instead of the simplified expression in (5.7), we now take into account the minority carrier variation across the base. Since the base is uniform, closed-form expressions are available for the minority carrier density in the base, and now (5.7) becomes

$$EL_0 = Fn_0^2 = Fn_1^2 \exp(eV_0/kT) .$$

$$\frac{(L/V)[\sinh(V/L) + (SL/D)\cosh(V/L) - SL/D}{\cosh(V/L) + (SL/D)\sinh(V/L)}.$$
 (5.11 d)

In (5.11 d), S is the recombination velocity at the p^-/p^+ interface and L is the low injection diffusion length. Since in high quality solar cells SL/D is a small number and L can be several hundred microns, the large fraction in (5.11 d) is very close to 1. Thus (5.7) overestimates EL_O by 5% to 10% only.

5.2.3 Extraction of Jon and Jop

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To reduce the number of unknowns and calculate L and S required by (5.11 d) for the calculation of F, an independent experiment was done to calculate the low injection lifetime, τ , and S. The diode was exited by a uniformly absorbed pulse of a nanosecond NYAG laser (= 1.064 µm) and the short circuit current response vas analyzed using the FDTA method [55]. The amplitude and phase response of the short circuit current are shown in Fig. 5.4 and Fig. 5.5, respectively. The low injection lifetime from this experiment vas τ = 50 ± 5 µs and the recombination velocity was S = 26.5 ± 5 cm/s. From (5.11 d) and Fig. 5.3, F = 4.63 x 10^{-29} (arb. units). The uncertainty in τ and S has negligible effects on F since, as already discussed, the big fraction in (5.11 d) is close to 1 and insensitive to L = 420 µm and SL/D = 0.032. Knowledge of S allows calculation of J_{op} through the relation

$$J_{op} = eSn_1^2/N_A = (4.4 \pm 0.9) \times 10^{-13} \text{ A/cm}^2.$$
 (5.12)

Since J_{op} is independently known, fitting the experimental results in region 2 with the numerical solutions will yield J_{on} . As we demonstrate in the next section, any decrease in value of the mobilities in region 2, has no effect on the numerical solutions for the EL dependence on I, in the same region. In addition, the free-carrier absorption is not important for carrier densities below 10^{17} cm⁻³. Therefore, J_{on} is essentially the only fitting parameter in region 2 and its value almost uniquely depends on the experimental

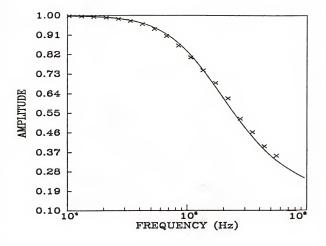


Fig. 5.4 Amplitude response from frequency-domain transient analysis for $n^4/p^2/p^4$ didde. The parameters for the best fit are $\tau=50~\mu_S,~S=26.5$ cm/sec.

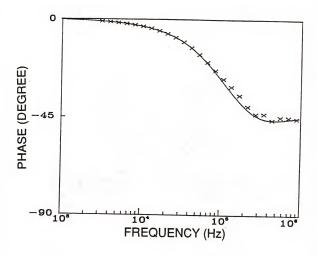


Fig. 5.5 Phase response from the frequency-domain transient analysis for $n^+/p^-/p^+$ dode. The parameters for the best fit are $\tau=50~\mu_S,~S=26.5$ cm/sec.

results and Jop. When Jop = 4.4 x 10^{-13} A/cm², fitting in region 2 yields Jon = 4.3 x 10^{-13} A/cm². The variation of Jop in (5.12) induces a similar variation in Jon. The effects of these variations on the calculation of C_A will be discussed in the next section. Fitting in the vicinity between regions 1 and 2 yields the high injection SRH ambipolar lifetime $\tau_{\rm SRH} = 150 \pm 20~\mu s$. The uncertainty in this lifetime has negligible effects in the upper half of region 2 and almost no effect in region 3.

5.2.4 Extraction of CA

 $C_{\rm A}$ is obtained from the fitting in region 3. Here, the value of the mobilities and the value of σ do affect the numerical results. The variation of mobilities with carrier density was taken from the book by Baliga [56] while the value for σ was taken from data by Svantesson [57]. Svantesson measured σ at a wavelength of 1.064 μ m and found σ = 5.1 x 10^{-18} cm². The electroluminescence spectrum of silicon is sharply peaked at 1.1 μ m and, therefore, we can extrapolate the previous value of σ following the cubic law dependence of σ on the wavelength [58]. Doing so yields σ = 5.64 x 10^{-18} cm².

Using these values for the mobilities and σ and having already calculated J_{0n} and J_{0p} , the fitting in region 3 becomes a one parameter fit, C_A being the fitting parameter. C_A was changed by steps of 0.01 x 10^{-30} cm⁶s⁻¹. The best fit was obtained for C_A = 1.77 x 10^{-30} cm⁶s⁻¹. Figure 5.6 shows the experimental and numerical results for a current variation over three orders of magnitude that

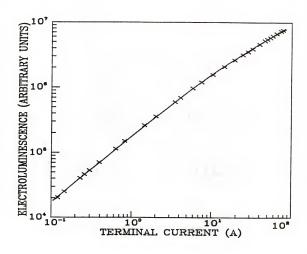


Fig. 5.6 Curve fitting based on numerical calculation. The parameters for the best fit are $J_{OD}=4.3\times10^{-13}$ A/cm^2 , $J_{OD}=4.4\times10^{-13}$ A/cm^2 , and $C_A=1.77\times10^{-30}$ cm^6s^{-1} . Mobilities are from ref. [56].

covers regions 2 and 3. The overall agreement is better than 98%. Figure 5.7 emphasizes the high current region and demonstrates the sensitivity of the fitting to $C_{\rm A}$. In Table 5.1 we summarize the values of the extracted parameters.

Table 5.1 Summary of the data set for the best fit.

Jon	Jop	τSRH	CA
$(10^{-13} \text{ A/cm}^2)$	$(10^{-13} \text{ A/cm}^2)$	(µs)	10-30 cm6s-1
4.3	4.4	150	1.77

5.3 Discussion

5.3.1 Sensitivity of CA to other parameters

Figure 5.8 demonstrates the effect that changes in the mobilities have on the numerical calculations. We see that for currents in the region from 0.1 to 5 Å, the numerical results are almost completely insensitive to any changes in the mobilities. Since this region is the same as region 2, we can safely conclude that the extraction of $J_{\rm OR}$ does not depend on the exact knowledge of the mobilities.

Figure 5.9 (a) and Fig. 5.9 (b) show that the plasma densities in the p⁻ region for the cases of with and without the Auger recombination, respectively. We can see that the plasma densities at low currents are same for both cases. However, they are different at high currents. The plasma distributions without the Auger

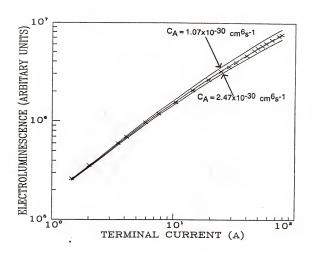


Fig. 5.7 Sensitivity of fitting to Auger coefficient. The best fit is for C_A = 1.77 x 10^{-30} cm⁶s⁻¹.

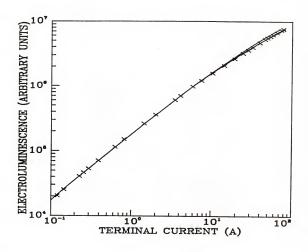


Fig. 5.8 Sensitivity of fitting to mobilities.

No doping variation of mobilities is assumed for the upper curve. The bottom curve assumes mobilities from ref. [56].

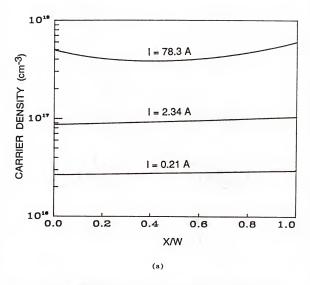


Fig. 5.9 Plasma densities across the p⁻ base with or without Auger recombination for different currents. (a) $C_A=1.77 \times 10^{-30}~cm^6s^{-1}$. (b) $C_A=0~cm^6s^{-1}$.

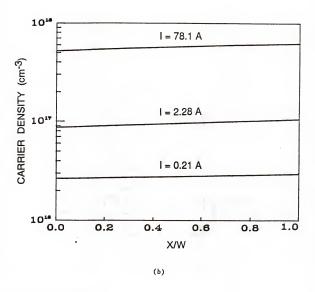


Fig. 5.9 (continued)

recombination at high currents is almost flat through the p^- region. But it is not uniform for the case with the Auger recombination. The plasma densities for this case at x=0 and x=W are larger than in the middle region of the p^- layer, because the ambipolar diffusion length becomes shorter due to the Auger recombination.

We also checked the sensitivity of C_A to the mobilities and σ in this experiment. The C_A varies from 1.63 x 10^{-30} cm 6 s $^{-1}$ to 1.88 x 10^{-30} cm 6 s $^{-1}$ for σ = 5.64 x 10^{-18} cm $^{-2}$ $^{\pm}$ 20 %, it also changes 1.50 x 10^{-30} to 1.94 x 10^{-30} cm 6 s $^{-1}$ for $\mu_{n,p}$ = $\mu_{n,p}$ ± 20 %, where $\mu_{n,p}$ are well below their values at low injection.

The effects of $J_{\rm OP}$ and $J_{\rm on}$ on C_A are summarized in Table 5.2. The first column in Table 5.2 represents the data set for the best fit. The second column shows that even under the conditions of 20 % higher $J_{\rm OP}$ and 20 % less $J_{\rm on}$ than the values in the first column the C_A is same as in the best fit case. The third column is for the opposite case of the second. In this case we have the slightly different value for C_A .

5.3.2 Band Gap Narrowing and Transition Probabilities

The analysis presented in section Section 5.2 is based on two implicit assumptions. Zero band-gap narrowing and an electroluminescence intensity that is proportional to the proportion.

We checked qualitatively the assumption of zero band-gap narrowing for the plasma densities under study by measuring EL with and without interference filter between the $p^+/p^-/n^+$ diode and the

Table 5.2 Sensitivity of the saturation current to fitting.

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I (A)	$\begin{array}{c} J_{\rm op} ~({\rm A/cm^2}) \\ J_{\rm on} ~({\rm A/cm^2}) \\ C_{\rm A} ~({\rm cm^6 s^{-1}}) \end{array}$	EL ₁ 4.4 x 10 ⁻¹³ 4.3 x 10 ⁻¹³ 1.77 x 10 ⁻³⁰	5.3 x 10 ⁻¹³ 3.3 x 10 ⁻¹³ 1.75 x 10 ⁻³⁰	3.5 x 10 ⁻¹³ 5.7 x 10 ⁻¹³ 1.96 x 10 ⁻³⁰
0.121		29779	29783	29316
0.394		99519	99645	99151
0.816		2.059×10^5	2.062 x 10 ⁵	2.066 x 10 ⁵
2.02		4.995 x 10 ⁵	5.011 x 10 ⁵	5.044 x 10 ⁵
7.6		1.721 x 10 ⁶	1.732 x 10 ⁶	1.739 x 10 ⁶
24.4		4.614 x 10 ⁶	4.633 x 106	4.633 x 10 ⁶
46.0		7.456 x 10 ⁶	7.469 x 106	7.454 x 10 ⁶
57.6		8.753 x 10 ⁶	8.762 x 10 ⁶	8.739 x 10 ⁶
77.2		1.071×10^{7}	1.071 x 10 ⁶	1.067 x 10 ⁷

sensor. The interference filter has 1.1 µm transmission center vavelength and sharp cut-off characteristics for other vavelength. As shown in Table 5.3, the ratios of two ELs at various currents are almost same. This suggests that band-gap narrowing in the range of the plasma densities under study is either not existing or negligible.

The second assumption is equivalent to assuming that the probability for radiative transitions is independent of injection level. This assumption has been experimentally verified by Gerlach and et al. for carrier plasma densities up to 2 x $10^{17}~\mbox{cm}^{-3}$ [59]. To experimentally verify the same assumption for higher plasma densities, we excited a lightly doped silicon wafer with the second harmonic (λ = 0.532 μ m) of a nanosecond pulsed NdYAG laser. The energy of the pulse was 5 mJ and it was attenuated by a factor of 1000 by a neutral density filter. The diameter of the beam was 3 mm. For a reflection coefficient (=0.3) and an absorption coefficient of $0.9 \times 10^3 \text{ cm}^{-1}$, right after the pulse the plasma density at the surface becomes about 1.2 x 10^{18} cm⁻³ and decays exponentailly the bulk according the absorption depth. inside electroluminescence signal right after the pulse comes mostly from the excited surface and the first micron of depth where the plasma density changes from 1.2 to 0.45 x $10^{18}\ \text{cm}^{-3}$. The intensity of the laser was lowered by an additional factor of 2 by placing another neutral density filter between the first and the wafer. Thus the detected electroluminescence comes from a plasma density varying between 1.2 and 0.22 x $10^{18}~\mathrm{cm}^{-3}$. The transient electroluminescence

Table 5.3 Electroluminescence results measured with (EL $_1$) and without (EL $_2$) 1.1 μm filter. EL $_1$ and EL $_2$ were measured at different sacles of lock-in amplifier.

CURRENT (A)	.EL ₁ (mV) (Without filter)	EL ₂ (mV) (With filter)
1.14	22.2	24.4
5.4	91.2	101.6
10.2	158.4	178.0
40.0	500.0	556.5
70.0	744.0	823.0

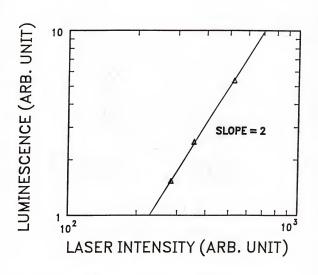


Fig. 5.10 Measured luminescence versus laser intensity.

signal was detected by a InGaAs detector placed from the other side of the wafer right across the excited spot. This is a convenient configuration because it completely filters the powerful laser pulse through the wafer. The signal was amplified by a current amplifier and displayed on a scope. Simultaneously, the laser pulse intensity was monitored by employing a beam splitter and a Si detector for a more accurate assessment of the relative intensity of the exciting signal. Figure 5.10 shows the peak of the electroluminescence intensity as a function of the laser intensity. The figure clearly demonstrates that the electroluminescence changes according to the square of the light intensity. Since the electroluminescence signal comes from plasma densities varying from 1.2 to 0.22 \times 10^{18} cm⁻³. and the carrier density immediately following the pulse is proportional to the laser intensity, we conclude that EL is proportional to the pn product for carrier densities up to 10^{18} cm^{-3} .

5.4 Conclusions

The recombination lifetime under high injection conditions in the base of $n^+/p^-/p^+$ diode was investigated on the basis of the relation between electroluminescence and terminal current. For carrier plasma densities up to $10^{17}~{\rm cm}^{-3}$ the relation between the electroluminescence signal and the current through the device is linear and dominated by the heavily doped region recombination. This relation becomes sublinear for higher carrier density due to Auger recombination in the bulk of the p^- base. The Auger recombination

coefficient is extracted by fitting the experimental sublinearity with numerical solutions that express the electroluminescence signal as a function of the terminal current. In the 10^{17} to 6×10^{17} cm⁻³ carrier plasma density, the Auger coefficient was found to be 1.77 x 10^{-30} cm⁶s⁻¹.

CHAPTER SIX SUMMARY AND SUGGESTIONS

This dissertation deals with the device and physical parameters of bipolar transistors. The device parameters are dependent on the device model. The parameters investigated in this work are for the Gummel-Poon model.

The base resistance was obtained by measuring the input impedances of the common-base configuration at low current. The technique includes a correction for zeroing errors. The correction is based on comparing the directly measured common-base input capacitance with that obtained by combining the input capacitances of the two configurations for forward and inverse operation. The values for capacitances measured by the new method agree with the values from the standard method, which uses accurate dummy devices for zeroing. The advantage of the new method is that the accurate dummy devices are not necessary. Furthermore, the accuracy of the new method is about ± 0.3 fF, compared to 1-2 fF for the standard method. The standard method will not be good enough for future submicrometer-emitter transistors with junction capacitances of 1-5 ff. The junction capacitances, the base and collector saturation currents, and the device temperature are also extracted from the detail analysis of the base resistance dependence on bias. The method is also demonstrated on a heterojunction bipolar transistor.

A model to investigate the dependence of the emitter resistance on bias and the structural parameters was developed. The

model combines the emitter and contact crowding model with the distributed model for a transistor. The emitter resistance was evaluated by the circuit simulator SLICE using a power dissipation method. The general trends of the emitter resistance agree with the experimental results. The main conclusion of this topic is that when the emitter crowding becomes significant, the emitter resistance becomes current dependent. For the simplification of the analysis, the current gain β and the base sheet resistance were considered to be current independent. Their dependences can be included in the model for more accurate analysis.

A method for extraction of the collector resistance has been developed. The basic concept of the method is to use the substrate current of the integrated n-p-n transistor. This current is either the emitter or collector current of the parasitic p-n-p transistor, depending on biasing conditions. The parasitic transistor is turned on by the voltage drop on the collector resistance of the n-p-n transistor. The separation of the collector resistance components is possible by operating the p-n-p transistor in both upward and downward modes. It is difficult to accurately estimate the value for the collector resistance at high current because it is strongly effected by the high injection phenomena. Since the new method yields the current dependence of the collector resistance, it may be used as a tool for studying the high injection phenomena.

The recombination lifetime under high injection condition in the base of $n^+/p^-/p^+$ diode was investigated on the basis of the relation between the electroluminescence and terminal current. The Auger coefficient is extracted by fitting the experimental data with

numerical solutions that express the luminescence signal as a function of the terminal current. In the 10^{17} to 6 x 10^{17} cm⁻³ carrier plasma density, the Auger coefficient was found to be 1.77 x 10^{-30} cm⁶s⁻¹. The procedure used can be also applied to a heterojunction p/i/n structure.

APPENDIX A INPUT IMPEDANCE FOR COMMON-EMITTER CONFIGURATION

To derive Z_{ine} we use the simplified equivalent circuit for the CE configuration shown in Fig. A.2. This circuit was derived from the hybrid- π circuit of Fig. A.1 by neglecting r_{μ} , r_{c} , and r_{o} . We further assume that $r_{\pi} > 1/\omega C_{\pi}$. The validity of all assumptions will be justified below. The result for Z_{ine} , neglecting the higher order frequency terms, is

$$\begin{split} \mathbf{Z}_{\text{ine}} &= \mathbf{r}_{\text{bx}} + \mathbf{r}_{\text{bi}} \left\{ 1 - \frac{(1 + \mathbf{g}_{\text{m}} \mathbf{r}_{\text{e}}) \mathbf{C}_{\text{Sc}} [(1 + \mathbf{g}_{\text{m}} \mathbf{r}_{\text{e}}) (2 \mathbf{C}_{\text{u}} + \mathbf{C}_{\text{SC}}) + 2 \mathbf{C}_{\text{\pi}}]}{[\mathbf{C}_{\text{\pi}} + \mathbf{C}_{\text{u}} + \mathbf{C}_{\text{Sc}} + \mathbf{g}_{\text{m}} \mathbf{r}_{\text{e}} (\mathbf{C}_{\text{SC}} + \mathbf{C}_{\text{u}})]^{2}} \right\} \\ &+ \mathbf{r}_{\text{e}} \left(\frac{\mathbf{C}_{\text{\pi}}}{(\mathbf{C}_{\text{m}} + \mathbf{C}_{\text{u}} + \mathbf{C}_{\text{SC}}) + \mathbf{g}_{\text{m}} \mathbf{r}_{\text{e}} (\mathbf{C}_{\text{u}} + \mathbf{C}_{\text{SC}})}}{(\mathbf{C}_{\text{u}} + \mathbf{C}_{\text{SC}}) + \mathbf{g}_{\text{m}} \mathbf{r}_{\text{e}} (\mathbf{C}_{\text{u}} + \mathbf{C}_{\text{SC}})}} \right)^{2} \\ &- (j / \omega) \left(\frac{1 + \mathbf{g}_{\text{m}} \mathbf{r}_{\text{e}}}{(\mathbf{C}_{\text{u}} + \mathbf{C}_{\text{SC}}) + (\mathbf{C}_{\text{u}} + \mathbf{C}_{\text{SC}}) \mathbf{g}_{\text{m}} \mathbf{r}_{\text{e}}}} \right). \end{split} \tag{A.1}$$

Assuming further that $g_m r_e <<1$, we obtain

$$\begin{split} & Z_{\text{ine}} & \simeq r_{\text{bx}} + r_{\text{bi}} \left(\frac{c_{\Pi^+} c_{\mu}}{c_{\pi^+} c_{\mu^+} c_{\text{SC}}} \right)^2 + r_{\text{e}} \left(\frac{c_{\pi}}{c_{\pi^+} c_{\mu^+} c_{\text{SC}}} \right)^2 \\ & + (1/j\omega) \frac{1}{c_{\pi^+} c_{\mu^+} c_{\text{SC}}}. \end{split} \tag{A-2}$$

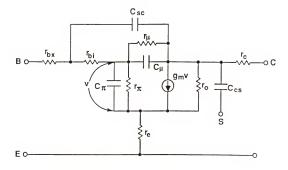


Fig. A.1 Small-signal equivalent circuit for the analysis.

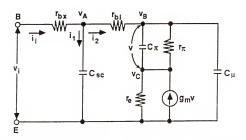


Fig. A.2 Simplified common-emitter equivalent circuit obtained from Fig. A.1 by neglecting the r_μ and r_0 , and the collector series resistance r_c .

APPENDIX B

INPUT IMPEDANCE FOR COMMON-BASE CONFIGURATION Zinb

Using the simplified diagram of Fig. B.1 and assuming $r_R{>}1/\omega C_{\Pi},\ r_{\rm bx}{<}1/\omega C_{\rm SC},\ {\rm and}\ r_{\rm bi}{<}1/\omega C_{\rm \mu},\ {\rm ve\ obtain}$

$$\begin{split} Z_{1nb} & = \frac{(1 + g_m r_e) + j \omega C_\pi (r_e + r_{bi} + r_{bx})}{j \omega C_\pi + g_m} \\ & = r_e + r_{bi} \left(\frac{\omega^2 C_\pi^2}{g_m^2 + \omega^2 C_\pi^2} \right) + \frac{\omega^2 C_\pi^2}{g_m^2 + \omega^2 C_\pi^2} + \frac{g_m}{g_m^2 + \omega^2 C_\pi^2} \\ & - j \frac{1 - g_m (r_{bi} + r_{bx})}{\omega C_\pi + (g_m^2 / \omega C_\pi)}. \end{split} \tag{B.1}$$

Assuming further that $g_m << \omega C_{\pi}$, and $g_m (r_{bi} + r_{bx}) << 1$, we have

$$Z_{inb} \approx r_e + r_{bi} + r_{bx} - j(1/\omega C_{\pi}).$$
 (B.2)

The validity of the assumptions used to derive (A.2) and (B.2) was checked by calculating the input impedances $Z_{\rm ine}$ and $Z_{\rm inb}$ from the complete equivalent circuit shown in Fig. A.1 using SPICE. The inputs for the simulation were the measured parameters r_{π} (determined from the DC $I_{\rm B}$ -V $_{\rm BE}$ curve), $r_{\rm bx}$, $r_{\rm bi}$, $r_{\rm e}$, C_{π} and $C_{\rm jc}$. Reasonable estimated values were used for the other parameters, including parasitic capacitances. The agreement between the simple

results given by (A.2) and (B.2) and the circuit simulation was better than 1 percent for $V_{BE} \le 0.45 \text{V}$ in the frequency range from 10 to 100 MHz. This agreement shows the excellent accuracy of (2.1)-(2.4) used in this work.

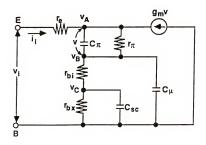


Fig. B.1 Simplified common-base equivalent circuit.

APPENDIX C FREE CARRIER ABSORPTION

The average number of photons emitted under an angle θ that is not absorbed by free carriers is proportional to

$$\exp(-\sigma \int_{0}^{V} n(y) \frac{dy}{\cos \theta} d\theta)$$
 (C.1)

where σ is absorption cross-section, n(y) is carrier density, and others are defined in Fig. C.1.

The total number of photons that are emitted under this angle and leaves the cell surviving the absorption is proportional to

$$exp(-σ_n/cosθ).2πsinθdθ$$
 (C.2)

where
$$\sigma_n = \sigma \int_0^V n(y) dy$$
. (C.3)

The $2\pi \sin\theta\theta\theta$ in (C.2) is a geometrical factor in the spherical coordinate for taking into account the light injected into all directions. The assumption used in the above derivation is the total attenuation is much smaller than 1.

Then, the total flux leaving the cell is proportional to

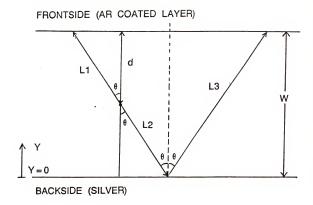


Fig. C.1 Schematic diagram for the analysis of free carrier absorption.

After changing variable, (C.4) becomes

$$\sigma_{n} \int_{0}^{1/\sigma_{n}} \exp(-1/x) dx. \tag{C.5}$$

For a certain number A which is larger than 1, (C.4) is expressed as

$$\begin{split} & \sigma_{\Pi} \left(\begin{array}{c} \int_{0}^{A} \exp(-1/x) dx + \int_{A}^{1/\sigma_{\Pi}} \exp(-1/x) dx \end{array} \right) \\ & = & \sigma_{\Pi} \left(\int_{0}^{A} \exp(-1/x) dx + \int_{A}^{1/\sigma_{\Pi}} (1 - 1/x) dx \end{array} \right) \\ & = & 1 - \sigma_{\Pi} \left(\begin{array}{c} \ln (1/\sigma_{\Pi}) + A - \ln A - \int_{0}^{A} \exp(-1/x) dx \end{array} \right). \end{aligned} \quad (C.6)$$

The measured electroluminescence is

$$\left[1 - \sigma_{n} \left(\ln (1/\sigma_{n}) + A - \ln A - \int_{0}^{A} \exp(-1/x) dx\right)\right] EL, \quad (C.7)$$

where EL is calculated electroluminescence without the free carrier absorption.

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BIOGRAPHICAL SKETCH

Ju-Sung Park was born in Jinju, Korea, on December 19, 1953. He received the B.S. degree in electronics engineering from Pusan National University, Pusan, Korea, in 1976 and the M.S. degree in electrical engineering from the Korea Advanced Institute of Science, Seoul, Korea, in 1978. Since 1985 he has been working toward the Ph.D. at the University of Florida, Gainesville, Florida, with emphasis on the parameter extraction of bipolar transistors.

From March 1978 to July 1985, he was with the Electronics and Telecommunication Research Institute (ETRI), Taejun, Korea, where he worked as a Senior Research Engineer and as the Manager of IC design group. While at ETRI he designed several bipolar analog ICs and was in charge of developing VCR ICs and CMOS 8-bit microcomputer

His research interests are IC design, device physics and modeling.

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> arnord heugeordel Arnost Neugroschel, Chairman Professor of Electrical Engineering

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Fredrik A. Lindholm

Professor of Electrical Engineering

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Soman Associate Professor of Electrical

Engineering

I certify that I have read this study and that in my opinion it conforms to acceptable standards of scholarly presentation and is fully adequate, in scope and quality, as a dissertation for the degree of Doctor of Philosophy.

Timothy James Anderson Professor of Chemical Engineering

This dissertation was submitted to the Graduate Faculty of the College of Engineering and to the Graduate School and was accepted as partial fulfillment of the requirement for the degree of Doctor of Philosophy.

August 1989

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